#### OFFICE OF THE DEPUTY DIRECTOR (L&E) DELHI JAL BOARD: GOVT. OF N.C.T. OF DELHI VARUNALAYA PHASE-II, KAROL BAGH, NEW DELHI Ph No. 011-23510241, E-Mail:- acle309@gmail.com

F.No. DJB/DD/L&E /2023/ 292

## Dated: 23/8/2023

#### ORDER

A special cleaning and greening drive is to be undertaken in all DJB premises/ offices this week to ensure clean and healthy environment in the DJB offices/ premises under supervision of respective Members as per following details:

| Member (Admn)         | DJB Headquarter Campus and other office campuses.      |
|-----------------------|--|
| Member (Water Supply) | All Water Treatment Plant premises and related areas.  |
| Member (Drainage)     | All Sewage Treatment Plant premises and related areas. |

All Estate Managers/ respective ACEs are hereby directed to organize a special drive for cleanliness and greenery in the respective offices/ DJB premises under their control including dispensaries and Zonal Revenue Offices, which may include among other measures the following:-

(ii) Cleaning and weeding out old records in the offices as per DoPT record retention schedule and other extant provisions.

#### (Action to be taken by: All HOOs)

(iii) Cleaning and disposing of the condemned/ unserviceable furniture in the offices as per extant guidelines and provisions.

## (Action to be taken by: Dy. Director, GAB/EE/HOO concerned)

(iv) Condemnation of old and unusable Vehicles after following due procedure in observance with relevant rules along with an action plan for disposal of condemned vehicles in near future.

(Action to be taken by: Dy. Director, G-I)

(v) Condemnation of old and unused Machinery after following due procedure in observance with relevant rules along with an action plan for disposal of condemned machinery in near future.

(Action to be taken by: ACE/EE concerned)

(vi) Measures for greenery and beautification in offices such as placing indoor and floral plants at suitable places, undertaking plantation at suitable places at all locations
 (Action to be taken by: Director, Horticulture)

A signed action taken report covering above points may be sent through the Member concerned, latest by 3:00 PM on 31.08.2023 alongwith photographs (2-3 of each location) and videos (before & after) of offices/ campus on <u>acle309@gmail.com</u> for further submission before CEO, DJB.

This issues with the approval of Competent Authority.

Quin-23/8/23

(Amit Kumar Singh) Deputy Director (L&E)

#### All Estate Managers/ACEs Delhi Jal Board

Copy to:-

- 1. PS to CEO, DJB for information.
- 2. All Members/ Chief Engineers/Directors, DJB.
- 3. All Joint Directors/ Deputy Directors/ Ex. Ens/MOIs/ ZROs, DJB.
- 4. EE (EDP) with the request to upload the order on DJB website.

Went- 23/8/23 Deputy Director (L&E)

## DELHI JAL BOARD GOVT. OF NCT OF DELHI JHANDEWALAN FH-II, KAROL BAGH, NEW DELHI

## Sabject -WEEDING OUT OF OLD RECORDS

To facilitate and speed up the avending out of old records of this Board, the following Record Retention Schedule approved by then DC (W) vide orders deted 27.10.87 is hereby circulated for compliance.

## RECORD RETENTION SCHEDULE

1. As per Annexure-I

2. As per Anneure-II

#### 3. DISPENSARY RECORDS

| L    | Daily Purchase Register         | O years after such |  |
|------|---------------------------------|--------------------|--|
| H    | Delby consumption register      | years after such   |  |
| lii. | Monthly indents                 | YOURS ALLOW MULLEL |  |
| łv.  | Attendance Register of Patients | yours after audit. |  |

#### 4. ADMINISTRATION RECORDS

| .1.  | R.R.Files.                                 | Permenent.                 |
|------|--|----------------------------|
| iL . | Appointment Files                          |                            |
| 111  | Decention Viles                            | 10 week.                   |
| tv.  | Seniority Files                            |                            |
|      | Seniority list                             |                            |
|      | Confirmation files                         |                            |
| vi.  | Transfer & posting files                   | 3 years after confirmation |
| vil  | Office Order Book                          |                            |
|      | Purchase file                              |                            |
| Ìx.  | Telephone files                            |                            |
| x.   | Audit para and report                      |                            |
| xi.  | Attendance Register & Punching Cards       |                            |
| xii. | C.L.Account.                               | 1 your                     |
|      | Repair & Maintenance files of office T&P A |                            |
| xiv. | Log Books of Vehicles                      |                            |
| XV.  | Miscellepeous files.                       |                            |
| xvi. | Applications and examination papers etc    |                            |
|      | Copies of notices and agenda of meeting of |                            |
|      |  |                            |

## 5. LWO, LO, VIGILANCE, ENQUIRY, PRO (W) OFFICE:-

5 years after closure of a case, Files containing important decisions be kept for 10 years and wooded out after retaining copies of the decision.

#### 6 AC(LAE)

| L  | Land & Building Records | Porm | epest.               |  |
|----|-------------------------|------|----------------------|--|
| Н. | Eviction case files     |      | ars after closure of |  |
|    | Case.                   |      |                      |  |
| Ш. | Court case files        |      | are after closure of |  |
|    | case.                   |      | 1                    |  |
|    |                         |      | ·                    |  |

Salat

- 7. REVENUE RECORDS:- As per norms laid down at 8.No.1,2 & 4. For the remaining record, a schedule may be draws by DOR based on past experience.
- 8. ENOG. DEPARTMENTS RECORDS: As per norms laid down at S.No.1, 2 & 4. For the remaining record, if any a schedule may be drawn by BO to ENC (W) or based on past experience.
- 9. SECURITY RECORDS: As per norms laid down at S.No.1, 2 & 4. For the remaining records, a schedule based on past experience may be drawn by CSO.

AUTHORITY EMPOWERED TO PASS ORDERS FOR WEEDING OF RECORDS.

The following officers of the Board are delegated authority by DC (W) vide orders dated 27.10.87 to pass orders in accordance with Record Retention Schedule for weading out of Old Records pertaining to their area of authority provided no audit para loourt is pending in respect of the record in question:

Administrative Officer .... Ant, Chief Accountant. Labour Welfare Officer. Law Officer. Booutry Officer. Addi. Director of Vigilance. Public Relation Officer. Zone Revenue Officer EO to CE/BO to ENOW) Dy.Chief Security Officer Executive Engineer.

#### CLOSING /RECORDING OF FILES

When no further action remains to be taken on a file, it may be scrutinized by Head Clerk/Supdt. For closing recording. This action may be completed by putting the following stamp on the cover of the file:-

> No action is ponding. May be recorded and kept Signature of Client DDO

All DDOs should get one rubber stamp propared on the above patienn. The period of retention may be calculated from the date of recording by

adding no. of years as shown in the record Retention Schedule. 1.11) 10.61. (2) The fills recorded in the above manner may be listed yearwise showing brief

subject and year for the destruction.

## WEEDING OUT OF OLD RECORDS

The existing old records may be weeded out by burning as per the Record Retention Schedule under the orders of Competent authority. It, however, needs be consured that no such record is wooded out in respect of which any disputs/court omeo/audit para is pending.

An old record can be retained even beyond the prescribed retention period if in the judgement of competent authority, the record in question has useful reference value.

A wooding out register be maintained by every DDO showing the perticulars of record weeded out, date of weeding, out, Orders of Competant authority and signatures of all in whose presence the record has been weeded out by burning.

# SHIFTING OF RECORD TO THE RECORD ROOM

The old record which has been recorded/indexed in the sforessid memor may be sent to Central Record Room Wazirabad provided that:-

- i. The record in question is required to be retained for a period of more than 3
- ii. No dispute/court case/audit para is presently pending in respect of the record iii. The record in question is not required for frequent references.

For shifting the second to Central Rooord Room, the second /files may be arranged yearwise and lists prepared in triplicate. 1" Copy of list may be tied on top of the alongwith the bundle. The 2<sup>nd</sup> copy may be handed over to Record Room Staff alongwith the bundle. The third copy may be retained as may be obtained in taken of having delivered the bundle to Record Room.

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(SATYAPAL SINGH RAWAT)

RACOAD CLORK CONTRAL RECORD BOOM

1. 1 ....

MALZAACAS 4/0

RO. Cu)

|       | le. Subject                                    | No.of complete year,<br>for which records to<br>be preserved original | and and the life |
|-------|--|---|------------------|
| A-3   | Stock Register of Receipt                      | er full.  |                  |
| A-17  | Survey report in man                           | the second second   | 5                |
| A-18  |  | •   | . 5              |
| A-19  | Regimer of Block-receipts.                     | 5   |                  |
| A-20  | Indens from for issue of Stock                 | 10  |                  |
| A-21  | Abstract of stock receipts                     | 5   |                  |
| A-22  | Abstract of stock issues                       | 5   |                  |
| A-23  | Half yearly balance return                     | 5   | 5                |
|       | Sale Account for mode of                       | 5   | 5                |
| A-24  | Half yearly register of stock                  |   |                  |
| A-25  | Accounts of receipt of Tools &                 | 5   |                  |
| A-26  |  | 5   | 5                |
|       | Accounts of insues of Tools                    | 5   | 5                |
| A-27  | Tools and Plant register                       |   |                  |
| A-28  | Form of detailed estimate                      |   |                  |
| A-29  | rorm of Potty works                            | 5   |                  |
| A-30  | Revised Betimete                               |   |                  |
| A-31  | Completion Report                              |   |                  |
| A-32  | Tender Form                                    | 3   |                  |
| A-33  | Percentage, mile tender &                      | 2 3   | +                |
| 1-34  | Nom mie tender & contracts                     |   |                  |
| 1-35  | Tender & Contracts for supply                  | 3   |                  |
| -36   | Inderial.                                      | 3   | -                |
| 1.49  | Tender and contract for piece work             | . 3   |                  |
| -37   | Lump sum tender and contract (ii)              | 3   |                  |
| -40   | Register of accepted tenders<br>and agreements | 5   | •                |
| -12 0 | Master Roll                                    | 10  |                  |
| -13 / | Daily Labour Report                            | 1   |                  |
| -44.  | Monsurement Book                               | 10  |                  |
| -45   | Register of Measurement                        | 10  | •                |
|       | Books  |   | . •              |
|       | First and Final Bill                           | 10  |                  |
| 47    | Running account and final bill                 | 10  | -                |
|       | (coloured paper)                               |   |                  |
|       | Hand Receipt                                   | 10  | -                |
| 49    | Bill of work charged eatt.                     | 35  | -                |
| 50    | Register of Board's works                      | 10  | -                |

## THE ACCOUNT RECORD OF THE BOARD BE DESTROYED

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| A-53   | Register of suspense           | 10  | -       |
|--------|--------------------------------|---|---------|
| A-54   | Batt. Check Register           | 10  | -       |
| A-56   | Officers Pay Bills             | 35  |         |
| A-57   | Bills of rest of Esti.         | 35  | -       |
| A-58   | Absentee Statements            | 35  |         |
| A-59   | Acquitance Rolls               | 6   |         |
| A-60   | T.A.Bills                      | 1   |         |
| A-61   | T.A. Check Register            |   |         |
| A-62   | Register Wasting Assets        | Description   |         |
| A-63   | Register of Loans              | Permanently   |         |
| A-64   |                                | Permanently   |         |
| A-65   | Register of Investements       | Permanently   |         |
| A-66   | Register of Stamps             |   | · · · · |
| A-67   | Memo of review                 | Permanently   |         |
| G-2    | Register of records destroyed  | Permanently   | · · ·   |
| G-3    | General Cash Book              | Permanently   | -       |
| 0.3    | Abstracts of receipts and      | 20  | -       |
| 0.0    | expenditure                    |   |         |
| G-7    | Treasury Challan               | 5   | -       |
| G-8    | Receipt Form                   | 5   |         |
| G-9    | Board's Treasury Challen       | 5   |         |
| G-10   | Rubber Stamp for payment out   | 5   | -       |
|        | of permanent advance           |   |         |
| G-11   | Rubber stamp of Sub-voucher    | 5   | -       |
| *      | of Form G-10                   | -   |         |
| G-12   | Batry of Permanent Advance     | 14.5  |         |
|        | made of Form G-10              | · · · · · ·   |         |
| G-13   | Contingent Bill                | 5   |         |
| 0-31   |                                | and the second se |         |
| G-32   | Register of Movable Property   | . 10  |         |
|        | Register of Suits              | 10  |         |
| G-33 A | Register of Process Fees       | 10  |         |
| G-33   | Régister of Contract work and  | 5   | 1       |
|        | supply order                   |   |         |
| G-34   | Indent Form                    | 5   | -       |
| G-35   | Register of Building           | 10  | -       |
|        | applications.                  |   |         |
| G-36   | Unauthorized construction of   | 10  |         |
|        | Buildings.                     |   |         |
| RIA    | Register of encroachments on   | Permanently   |         |
|        | -                              | r ottominicity  | -       |
|        | immovable property.            |   |         |
| R-1    | Register of immovable          | Permanently   | -       |
|        | property                       |   |         |
| R-2    | Rent demand and collection     | 10  | -       |
|        | register                       |   |         |
| R-3    | Bill for collection of rent of | 5   |         |
|        | immovable property             |   |         |
|        |                                |   |         |
| R-4    | Tehbazaci Ticket               | 5   |         |
| 2-5    | Tchabazari Rogistor            | 5   |         |
| r-s-9  | Register of Tebbazari by lands | 5   |         |
|        | officer.                       |   |         |
| 1-6    | Receipt of fair focs           | 5   | -       |
| 1-7    | Slaughter House Tickets        | 5   |         |
|        |                                | the second se   |         |
|        | Gurden Order Book              | 5   |         |
| -9     | Gargen Ledger Book             | 3   |         |
| -10    | Gurden Cash Book               | 19  | -       |
|        | Ctatement of Board's           | 5   |         |

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#### GENERAL

| ١      |   |                                     |
|--------|---|-------------------------------------|
| L      | Receipt Register  | 3 yours                             |
| H.     | lavoice   | 1 year                              |
| HL.    | Section Diary   | 3 years                             |
| iv.    | Register of Inter Sectional Movement  | 1 year after all reference entered  |
|        |   | in the register have been returned  |
| 1.     |   | to the originating section.         |
| ¥.     | Weekly statement of cases disposed off  | 2 years                             |
| VL     | Assistant Diary   | 1 year after all the primary        |
|        |   | receipt entered in the diary have   |
|        |   | been finally disposed off.          |
| vii    | Insue Diary   | 1 your                              |
| viii.  | Work Sheet for typists  | 1 year                              |
| ix.    | Despatch Register for local dak   | 3 years                             |
|        | Peon Book   | 3 year                              |
| xd.    | Postage Stamp Accounts Register   | 3 years after audit                 |
| xii.   | File Movement Register  | 1 year after midit all the files of |
|        |   | the year have been recorded.        |
| xiii.  | Movement Diary for Stenographers, PAS   | I your after all the papers entered |
|        | and Private Secretaries.  | in the Diary have been sent out.    |
| xiv.   | Control Chart of Primary Receipts   | 1 year                              |
| XV.    | Abstract of Control Chart   | 3 years                             |
| xvi.   | Statistical Abstract of Primary receipts  | 1 your                              |
| xvii.  | Weekly Arrow Statement  | 1 your                              |
| xvili  | Numerical abstract of Castes pending  | 3 years                             |
| AVILL  | disposal over a month.  | All for the lower of the            |
| xix.   | Suspense and Reminder Diary   | 1 your                              |
| XX.    | Monthly Arrow Statement relating to   | 1 year                              |
| AL.    | indexing and recording  | •                                   |
| -      | Statement of priodical returns and reports  | 1 year                              |
| XXX    | Register of Assurances  | I what after all the promises       |
| xxii.  | Not state of A state of the   | including in the register have      |
|        |   | been implemented.                   |
|        | Quarterly and Annual Inspection Reports.  | 3 years                             |
| rriii. | Statement showing the number of   | 1 year                              |
| xxiv.  | Statement showing the induced   |                                     |
|        | inspections carried out.  |                                     |
|        |   |                                     |
|        |   |                                     |
|        |   | 10 -                                |
| R-12   | Misc. Refund & Collection register  | 5 -                                 |
| P-1    | Doomle Register   | 5 -                                 |
| P-2    | Destinter of impounds and animals   | 5 -                                 |
| P-3    | the second se | 1. de                               |
| P-4    |   |                                     |
|        |   | Permanently -                       |
| P-5    | Protection and the second second  | TO DE LONG                          |

P-6

- Pounds Ledger Form of contract work supply orders Completion certificate MW-5
- MW-12

CONTD. 24

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1 ... . . . 2

S.A.L.

#### Budget Estimates

Monthly Account & Abstracts of accounts

#### Annual Accounts

Budget proposals furnished by the departments.

Annual appropriation Account

Permanent spare copies to be destroyed after 3 years

March account to be retained for 10 year other months accounts to be destroyed after 1 year of the completion of related year of accounts.

#### Permanently

One year after sudit of annual appropriation accounts of the related year

One year after audit.

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## **GOVERNMENT OF INDIA**

## RECORD RETENTION SCHEDULE IN RESPECT OF RECORDS COMMON TO ALL MINISTRIES/ DEPARTMENTS

2012

## DEPARTMENT OF ADMINISTRATIVE REFORMS & PUBLIC GRIEVANCES SARDAR PATEL BHAWAN, SANSAD MARG, NEW DELHI-110001

#### PREFACE

The Department of Administrative Reforms and Public Grievances in the Ministry of Personnel, Public Grievances and Pensions, is entrusted with the responsibility of preparing Record Retention Schedule common to all Ministries and Departments, so that there is uniformity in the retention schedule of records of common nature in the area of policy, establishment and housekeeping created by the different Ministries/Departments of Central Government. With the assistance of a working group, having members from the Staff Inspection Unit of the Ministry of Finance, Ministry of Home Affairs and Comptroller and Auditor General, the first schedule was prepared and was circulated among the departments in January, 1963. The last edition was brought out in 2004. This edition was arranged according to the Scheme of Functional File Index for facilitating recording of the files.

With a view to bring economy of space, efficiency in retrieval of documents and coming into being of the Right to Information Act-2005 & the need for storage of electronic data/information, a need was felt to revise the Record Retention Schedule. The Department of Administrative Reforms & Public Grievances had invited suggestions/comments from various Ministries/ Departments of Government of India. The suggestions, thus, received and further inputs provided were considered by an Inter-departmental Committee headed by JS(O&M), DAR&PG and members from National Archives of India, Department of Administrative Reforms & Public Grievances, Ministry of Culture, Department of Information Technology, National Informatics Centre and Department of Personnel.

I am glad to state that based on the recommendations of the Inter-departmental Committee, the Department of Administrative Reforms & Public Grievances is bringing out a revised edition of the Record Retention Schedule. I hope this will go a long way in enabling the Central Government offices to properly operate their records management system.

Any suggestions for improvement of this Schedule will be welcome.

(Shri Sanjay Kothari) Secretary, Department of Administrative Reforms & Public Grievances

#### ACKNOWLEDGEMENT

Record Management has always remained a critical activity of the government departments, as it is viewed as key to efficient administration. The Department of Administrative Reforms and Public Grievances is responsible for formulation of guidelines on Record Management and preparation of Record Retention Schedule (RRS) for records common to all Ministries/Departments of Government of India to ensure that there is uniformity in retention schedule of records of common nature. On formulation of the Schedule, utmost care is taken to ensure that files are neither prematurely destroyed nor kept for period longer than necessary. The Schedule is reviewed periodically.

An inter-departmental Committee headed by the Joint Secretary (O&M), DAR&PG was constituted on 20.03.2012 comprising of members from the Ministry of Culture, Department of Electronics, Department of Personnel & Training, National Archives of India, National Informatics Centre as also the Dir (O&M), Dir (AR), DS (e-Gov) and US (O&M) from DAR&PG to review the Record Retention Schedule. The Terms of Reference (TOR) of the Committee comprised of (i) consideration of all basic policy issues relating to RRS with reference to suggestions received from various Ministries/Departments as well as to make other recommendations for Updation of RRS, (ii) examination of issues relating to preservations of records in electronic form with the perspective of e-Governance and (iii) prescribing retention period for files relating to RTI cases. Based on the recommendations of the Committee the revised Record Retention Schedule (2012) was finalized on 03.12.2012.

The members of the Committee took special interest to go through the details and it is on account of their commitment and dedication that the revised RRS could be finalized much before the prescribed target date of the Department's Results Framework Document (RFD) for the year 2012-13. The Department would like to place on record special appreciation of Shri Arun Kumar Srivastava, Assistant Director (NAI) for his valuable suggestions on some important issues. The Department would also like to place on record special appreciation for the invaluable contribution of Shri Arvind Suri-Dir, Shri Anurag Srivastava-US, Smt. Uma Sharma-SO, Smt. Neeru Verma-PS and Shri Sunil Kumar-DEO of O&M Division and Smt. Ritika Bhatia-Dir(AR) and Smt. Kavita Garg-DS(e.Gov) in the finalization of Record Retention Schedule.

The Department of Administrative Reforms and Public Grievances would be grateful if the users of this publication send more suggestions for the improvement of the Schedule.

(Shri P.K. Tiwari) Joint Secretary, Department of Administrative Reforms & Public Grievances

#### INSTRUCTIONS

- 1. The schedule follows the classification, arrangement and numbering scheme adopted for the functional file index for establishments and house-keeping work.
- 2. Categorisation of records have been done in accordance with Central Secretariat Manual of Office Procedure (CSMOP). Wherever necessary reference has been made to General Financial Rules (GFR) Appendix 13. Both GFR Appendix 13 and CSMOP Appendix 28 have been incorporated as annexures.
- 3. Those items of the functional file index, for which it has not been possible to prescribe rigid retention periods, have been omitted from this schedule.
- 4. Where necessary, additional main heads and sub-heads have been opened under the appropriate 'group headings' and 'main heads' respectively.
- 5. Retention periods for records (other than files) e.g. registers, for which no files are to be opened and which, therefore, are not covered by the functional file index, have been shown under the appropriate group headings at the end.
- 6. Retention periods for records common to all departments, but not relating to establishment and house-keeping work, and therefore not covered by the functional file index, have been shown at the end of the schedule.
- 7. Unless otherwise stated the records described in column 2 of the schedule refer to files. Where necessary, other ancillary records pertaining to such files like mortgage deeds or bonds, nomination form, etc. would have to be retained as specifically indicated in column 4 against the relevant items.
- 8. The retention period specified in column 3, in the case of a file, is to be reckoned from the year in which the file is closed (i.e. action thereon has been completed) and not necessarily from the year in which it is recorded.
- 9. In the case of records other than files, for example, registers, the prescribed retention period will be counted from the date on which it has ceased to be current.
- 10. If a record relates to two or more subjects for which different retention periods have been prescribed, it will be retained for the highest of such periods.
- 11. In exceptional cases, i.e. if the record has certain special features or such a course is warranted by the peculiar needs of the department, the record may be retained for a period longer than that specified in the schedule, in no case, however, will a record be retained for a period shorter than that prescribed in the schedule.

- 12. If a record is required in connection with the disposal of another record, the former will not be weeded out until after all the issues raised on the latter have been finally decided, even though the retention period marked on the former may have expired in the meantime. In fact, the retention periods initially marked on such records should be consciously reviewed and, where necessary, revised suitably.
- 13. The individual Ministries/Departments are requested to ensure that the provisions contained in the Record Retention Schedule are strictly followed.

NOTE:- 'Department' means any of the ministries, departments, secretariat and offices mentioned in the First Schedule to the Government of India (Allocation of Business) Rules.

| PART I -   | Records relating to establishment and house-keeping work             | Page/Pages |
|------------|--|------------|
|            | A – Establishment  | 1-19       |
|            | B - Welfare  | 20-24      |
|            | C - Vigilance  | 25-31      |
|            | D - Common Office Services   | 32-46      |
|            | E - Hindi  | 47-49      |
|            | F - Public relations   | 50-53      |
|            | G - Finance, budget cash and accounts                                | 54-65      |
|            | H - Parliament   | 66-68      |
|            | I - Record Retention Schedule of files relating to RTI application   | 69         |
|            | J - Electronic Records   | 70-72      |
| PART II -  | Records (other than those relating to establishment and housekeeping | 73-77      |
|            | work) common to all departments                                      |            |
| Annex –I   | General Financial Rules (GFR) Appendix 13                            | 78-87      |
| Annex - II | Central Secretariat Manual of Office Procedure (CSMOP) Appendix - 28 | 88-91      |

#### TABLE OF CONTENTS

#### Categorization of Records

<u>Physical Records</u>\* - File may be recorded under any one of the following category:

- (1) Category 'A' meaning 'keep and microfilm' -
  - (a) files which qualify for permanent preservation for administrative purposes and which have to be microfilmed because they contain:
  - (i) a document so precious that its original must be preserved intact and access to it in the original form must be restricted to the barest minimum to avoid its damage or loss; or
  - (ii) material likely to be required for frequent reference by different parties simultaneously/frequently.
  - (b) files of historical importance.
- (2) Category `B' meaning `keep but do not microfilm'-This category will cover files required for permanent preservation for administrative purpose. It will, however, exclude the nature of material falling under the category described in (i) or (ii) of sub-para (1) (a) above and therefore need not be microfilmed.
- (3) Category `C' meaning `keep for specified period only'. This category will include files of secondary importance having reference value for a limited period not exceeding 10 years. In exceptional cases, if the record is required to be retained beyond 10 years it will be upgraded to B Category. C-3, C-5 & C-10 means Category 'C' files to be kept for 3 years, 5 years and 10 years respectively.

<u>Electronic Records\*\*</u> - e-Files/records may be digitized any one of the category:

- (1) Category-I (e-Files/records to preserved permanently on which are of historical importance) For 10 years, it will be kept in the Department's sever and thereafter transferred to the server of the National Archives of India.
- (2) Category –II (e-Files/records of secondary importance and have a reference value for a limited period) 10 years on the Department's server. In exceptional cases, if the record is required to be retained beyond 10 years it will be upgraded to Category-I.

<sup>\*</sup> From the paragraph No.105 of the Central Secretariat Manual of Office Procedure.

<sup>\*\*</sup> From the paragraph No.92 of the Central Secretariat Manual of e-Office Procedure.

| S.No. | SUBJECT/TOPIC  | PAGE/PAGES |
|-------|--|------------|
|       |  | NUMBERS    |
| 11.   | Creation and classification of post  | 1          |
| 12.   | Recruitment  | 32-3       |
| 14    | Scheduled castes and Scheduled tribes  | 3-4        |
| 15    | Retrenchment   | 4          |
| 16    | Verification/re-verification of character and antecedents                                | 4-5        |
| 17    | Medical examination  | 5          |
| 19    | Personal files (gazetted)  | 5          |
| 20    | Personal files (non-gazetted)  | 5-6        |
| 21    | Service record   | 6-7        |
| 22    | Postings and transfers   | 7          |
| 23    | Seniority  | 7-8        |
| 24    | Leave (other than study leave & casual leave)  | 8          |
| 25    | Casual leave (including special leave)   | 8          |
| 26    | Pay/special pay  | 9          |
| 27    | Allowances   | 9          |
| 28    | Confidential/assessment report   | 9-10       |
| 29    | Increment  | 10         |
| 31    | Probation/confirmation   | 10-11      |
| 32    | Promotion/reversion  | 11-12      |
| 33    | Training/scholarships/fellowships in India and abroad                                    | 12-13      |
| 34    | Departmental examinations  | 13         |
| 35    | Deputations and delegations  | 13-14      |
| 36    | Delegation of powers   | 14         |
| 37    | Honorarium/awards  | 14         |
| 38    | Pension/retirement   | 14-15      |
| 39    | Resignation  | 15         |
| 40    | Extension of service   | 15-16      |
| 41    | Re-employment  | 16         |
| 43    | Nomination of employees  | 16         |
| 44    | Forwarding of applications   | 16         |
| 45    | Study leave  | 17         |
| 46    | No objection certificate (for registration with employment exchange)                     | 17         |
| 47    | Review for determining suitability of employees for continuance in service               | 17         |
| 48    | Review of cadres/services  | 17-18      |
| 49    | No objection certificate for issue of passport, arms license etc. to government servants | 18         |
|       | Records other than files   | 19         |

#### A - ESTABLISHMENT

#### RECORD RETENTION SCHEDULE IN RESPECT OF RECORDS COMMON TO ALL MINISTRIES/DEPARTMENTS

.

#### Part I-Records relating to establishment and house-keeping work A-ESTABLISHEMENT

(numbers indicated in column (3) indicates the years/period for which record has to be kept)

| S.No. | Desc                                    | ription of record                                    | Retention period  | Remarks  |
|-------|---|--|---|--|
|       | Main Head                               | Sub-head   |   |  |
| 11    | (1)                                     | (2)  | (3)   | (4)  |
|       | Creation and<br>classification of posts | 11.Continuance/abolition/revival<br>of posts         | Category 'B'  | Subject to particulars of sanctions<br>being noted in Establishments/Sanction<br>Register.<br>Refer GFR appendix 13, Annex-1 |
|       |   | 12.Conversion of temporary posts into permanent ones | C-10  | Subject to particulars of sanctions<br>being noted in Establishment/Sanction<br>Register.<br>Refer GFR appendix 13, Annex-1  |
|       |   | 13.Creation of posts                                 | C-10  | Subject to particulars of sanctions<br>being noted in Establishment/Sanction<br>Register.<br>Refer GFR appendix 13, Annex-1  |
|       |   | 14.Revision of scales of pay                         | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete |  |
|       |   | 15.Upgrading of posts                                | C-10  | Subject to particulars of sanctions<br>being noted in Establishment/Sanction<br>Register.<br>Refer GFR appendix-13, Annex-1  |
|       |   | 16.Re-designation of Posts                           | C-10  | Subject to particulars of change being<br>noted in Establishment/Sanction<br>Register  |
|       |   | 17.Plan/non-Plan posts                               | C-3   | Subject to particulars of sanction being noted in Establishment/Sanction Register.   |

| 12. | Recruitment | 11.Recruitment       (general aspects) including provisions of the Constitution         12. Appointment of dependents                | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete<br>C-5 | Subject to the application of the candidate and an authenticated copy of   |
|-----|-------------|--|--|--|
|     |             | of deceased employees  |  | the order of appointment being kept in<br>the personal file.   |
|     |             | 13. Appointment of honorary workers  | C-10   | Subject to the bio-data/application of<br>the candidate and an authenticated<br>copy of the order of appointment being<br>kept in the personal file. |
|     |             | 14. Appointment of non-Indians.  | C-10   | Subject to the application of the candidates and an authenticated copy of the order of appointment being kept in the personal file.                  |
|     |             | 15. Estimate (annual) of vacancies   | C-3  |  |
|     |             | 16. Employment priorities and maintenance of roster  | (a) C-10 in respect of Scheduled<br>Castes/<br>Tribes.   |  |
|     |             | 17. UPSC (Exemption from<br>Consultation) Regulations  | (b) C-5 in the case of others.   |  |
|     |             | 18. Framing of recruitment rules   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete        |  |
|     |             | <ul><li>19. Notification to and release of vacancies by</li><li>(i) Local employment exchange</li><li>(ii) D.G.E. &amp; T.</li></ul> | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete        |  |

|    |  | 20. Nomination of candidates by local employment exchange and   | C-3   |  |
|----|--|---|---|--|
|    |  | their selection   |   |  |
|    |  | 21. Recruitment through<br>Employment exchange (general<br>aspects)   |   |  |
|    |  | 22. Recruitment through Ministry<br>of Personnel, Public Grievances<br>and Pensions   |   |  |
|    |  | 23. Recruitment by Ministries   |   |  |
|    |  | <ul> <li>24. Recruitment from open market, including advertisement and inviting of applications</li> <li>25. Recruitment through UPSC including requisitions for recruitment and recommendations of UPSC (i) Group A</li> </ul> | C-10  | Subject to the application of the successful candidate and an authenticated copy of the order of appointment being kept in the personal file.  |
|    |  | (ii) Group B<br>26. Recruitment otherwise than<br>through UPSC  | C-10  | Subject to the application of the successful candidate and an authenticated copy of the order of appointment being kept in the personal file.  |
| 14 | Scheduled castes and<br>Scheduled tribes | 27. Reservation in services:<br>(a) Scheduled castes/<br>Scheduled Tribes<br>(b) Others   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned; Category 'C' for other<br>departments who may keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete | The Department of Personnel &<br>Training and Commissioners for<br>Scheduled Castes and Scheduled<br>Tribes as authorities responsible for<br>overall policy and co-ordination in the<br>matter, may keep such records for<br>appropriate longer periods to be<br>prescribed by them in their respective<br>record retention schedule. |
|    |  | 28. Return regarding<br>appointment and promotion<br>made without consultation with<br>UPSC   | C-1   | Subject to (a) files not being closed till<br>after the presentation of the<br>Commission's report to Parliament; and<br>(b) correspondence regarding<br>difference of opinion between the<br>UPSC and the administrative  |

|     |   |  |   | department being dealt with on the appropriate recruitment file.  |
|-----|---|--|---|---|
|     |   | 29. Selection Committees for recruitment of personnel:                           |   |   |
|     |   | (a) Constitution   | C-3; or C-1 after reconstitution, whichever is later.   |   |
|     |   | (b) Proceedings  | Period of limitation or C-3 whichever is greater  |   |
|     |   | 30. Relaxation of age/educational qualifications                                 | C-3   | Subject to a suitable entry being made<br>in the appropriate service record (i.e.<br>service book or service card) and an<br>authenticated copy of the order being<br>placed in Vol. II of Service<br>book/personal file.<br>Refer GFR appendix 13, Annex-1 |
|     |   | 31. Condonation of break in service  | C-4   | Subject to a suitable entry being made<br>in the appropriate service record and<br>an authenticated copy of the order<br>being kept in Vol. II of service<br>book/personal file.<br>Refer GFR appendix 13, Annex-1  |
|     |   | 32. Engagement of casual labour  | C-3; or C-1 after completion of audit, whichever is later.  |   |
| 15. | Retrenchment  | 11.General Principles  |   |   |
|     |   | 12.Group.A<br>13.Group.B<br>14.Group B(Non-Gazetted)<br>15.Group C<br>16.Group D | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments need<br>keep only the standing orders, weeding<br>out the superseded ones, as and when<br>they become obsolete    | A copy of the order will be placed in the personal file   |
| 16. | Verification/re-<br>verification of<br>character and<br>antecedents | 11.Rules (General aspects)   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete |   |

| 17. | Medical examination               | 12.Group.A<br>13.Group.B<br>14.Group B(Non-Gazetted)<br>15.Group C<br>16.Group D<br>11.Rules (General aspects)   | C-1<br>Category 'B' in the case of departments   | Subject to a suitable entry being made<br>in the appropriate service record and<br>the verification report itself being kept<br>in Vol. II of the service book/personal<br>file.   |
|-----|-----------------------------------|--|--|--|
|     |                                   |  | issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete |  |
|     |                                   | 12.Group.A<br>13.Group.B<br>14.Group B(Non-Gazetted)<br>15.Group C<br>16.Group D   | C-1  | Subject to a suitable entry being made<br>in the appropriate service record and<br>report itself being placed in Vol. II of<br>service book/ personal file.  |
| 19. | Personal files<br>(Gazetted)      | 11.Secretaries/SpecialSecretaries/AdditionalSecretaries12. Joint Secretaries12. Joint Secretaries13.Directors/Deputy Secretaries14. Under Secretaries14. Under Secretaries15. Section Officers16. Stenographers (selectiongrade)17. Stenographers (grade I)  | <ul> <li>(a) Those eligible for retirement/terminal benefits:<br/>C-5 after issue of final pension/gratuity payment order</li> <li>(b) Others : C-5 after they have ceased to be in service</li> </ul>   | On the expiry of the specified retention<br>period, personal files of officials who<br>have made significant contribution in<br>any field of activity (e.g. administrative,<br>scientific, economic, social) and have<br>won national/ international recognition,<br>should be sent to the National Archives     |
|     |                                   | 18. Correspondence regarding requisition, transfer, return etc.  | C-1  |  |
| 20. | Personal files (Non-<br>Gazetted) | requisition, transfer, return etc.         11. Research Assistants/         Technical Assistants/         Technical Assistants/         12. Assistants         13. Stenographers (grade II)         14. Investigators         15. UDCs         16. Stenographers (grade III)         17. LDCs         18. Staff car drivers         19. Jamadars / daftaries | (a) Those eligible for<br>retirement/terminal benefits: C-<br>5 after issue of final<br>pension/gratuity payment order   | On the expiry of the specified retention<br>period, personal files of officials who<br>have made significant contribution in<br>any field of activity (e.g. administrative,<br>scientific, economic, social) and have<br>won national/ international recognition,<br>should be sent to the National<br>Archives. |

|     |                 | 20. Peons                          | (b) Others: C-5 after they have            |   |
|-----|-----------------|------------------------------------|--|---|
|     |                 | 21. Farashes                       | ceased to be in service                    |   |
|     |                 | 22. Sweepers                       |  |   |
|     |                 | 23. Correspondence regarding       | C-1  |   |
| 21. | Service records | 11.History of services             | (a) For departments preparing and          |   |
|     |                 | 12.Group 'A'                       | bringing out the compilation: C-5          |   |
|     |                 | 13.Group 'B'                       | (b) For other departments (i.e. those      |   |
|     |                 |                                    | supplying material for inclusion therein): |   |
|     |                 |                                    | one year after issue of the compilation    |   |
|     |                 | 14.Change in name of a             | C-3  | Subject to a suitable entry being made      |
|     |                 | government servant                 |  | in the appropriate service record and       |
|     |                 | 5                                  |  | an authenticated copy of the order          |
|     |                 |                                    |  | being kept in Vol. II of service            |
|     |                 |                                    |  | book/personal file.                         |
|     |                 | 15.Alteration in the date of birth | C-3  | Subject to a suitable entry being made      |
|     |                 |                                    |  | in the appropriate service record and       |
|     |                 |                                    |  | an authenticated copy of the order          |
|     |                 |                                    |  | being placed in Vol. II of service          |
|     |                 |                                    |  | book/personal file.                         |
|     |                 |                                    |  | Refer GFR appendix 13, Annex-1              |
|     |                 | 16.Change in qualification of      | C-3  | Refer GFR appendix 13, Annex-1              |
|     |                 | government servant                 |  | Subject to a suitable entry being made      |
|     |                 | 5                                  |  | in the appropriate service record and       |
|     |                 |                                    |  | an authenticated copy of the order          |
|     |                 |                                    |  | being placed in Vol. II of service          |
|     |                 |                                    |  | book/personal file.                         |
|     |                 |                                    |  | Refer GFR appendix 13, Annex-1              |
|     |                 | 17.Civil list, gradation/seniority | C-3  |   |
|     |                 | list:                              |  |   |
|     |                 |                                    |  |   |
|     |                 | (a) In the case of departments     | One year after issue of relevant           | Refer GFR appendix 13, Annex-1              |
|     |                 | preparing and bringing out the     | compilation                                |   |
|     |                 | compilation.                       | •  |   |
|     |                 |                                    |  |   |
|     |                 | (b) In the case of other           |  |   |
|     |                 | departments, (i.e. those           |  |   |
|     |                 | supplying information for such     |  |   |
|     |                 | compilation)                       |  |   |
|     |                 | 18.Verification of age and         | C-1  | Subject to authenticated copies of the      |
|     |                 | educational qualifications         |  | relevant certificates being kept in Vol. II |

|     |                        |  |   | of service book/personal file.   |
|-----|------------------------|--|---|--|
|     |                        | 19. Admission of previous<br>service not supported by<br>authenticated service record,<br>e.g. through collateral evidence | C-3 or 1 year after completion of audit,<br>whichever is later  | Subject to suitable entries being made<br>in the appropriate service record and<br>an authenticated copy of the order<br>being placed in Vol. II of service<br>book/personal file.<br>Refer GFR appendix 13, Annex-1   |
|     |                        | 20. Nomination relating to family pension and DCR gratuity   | C-1   | Subject to the nomination in original or<br>an authenticated copy there of (where<br>original is kept with the audit), as the<br>case may be, being placed in Vol. II of<br>the service book/ personal file.<br>Refer GFR appendix 13, Annex-1   |
|     |                        | 21.G.P.Fund nomination   | C-1   | placed in Vol. II of the service book of<br>Group D government servants and (b)<br>the nomination in original or an<br>authenticated copy thereof being<br>placed in Vol. II of the service<br>book/personal file in the case of other<br>government servants.<br>Refer GFR appendix 13, Annex-1 |
| 22  | Postings and transfers | 11.General aspects   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete |  |
|     |                        | 12.Group A<br>13.Group B<br>14.Group B(Non Gazetted)<br>15.Group C<br>16.Group D   | <ul> <li>(a) If involving change of office: C-3</li> <li>(b) In other cases: C-1</li> </ul>   | Subject to a suitable entry being made<br>in the appropriate service records and<br>register of postings, and an<br>authenticated copy of the order being<br>placed in the personal file.<br>Subject to a suitable entry being made<br>in the register of postings.                              |
| 23. | Seniority              | 11.General principles  | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as                                  |  |

|     |  |                               | and when they become obsolete  |  |
|-----|--|-------------------------------|--|--|
|     |  | 12.C.S.S Rules                | (a) Permanent in the case of   |  |
|     |  | 13.C.S.S.S. Rules             | department issuing the rules, orders etc;                                  |  |
|     |  | 14.C.S.C.S.Rules              | other departments need keep only the                                       |  |
|     |  |                               | standing orders and instructions,  |  |
|     |  | 15. War service Rules (lien & | weeding out the superseded ones as   |  |
|     |  | seniority)                    | and when they become obsolete.   |  |
|     |  | 16. Established organized     | and when they become obsolete.   |  |
|     |  | services                      | (b) Fixation of seniority in individual                                    |  |
|     |  | 17. Political sufferers       | cases: C-5   |  |
|     |  | 18.Representations            | C-5  | If the representation results in the<br>original seniority being revised, an<br>authenticated copy of the relevant<br>order/decision will be kept in Vol. II of<br>service book/personal file. |
| 24. | Leave (other than study leave and casual | 11.Rules (general aspects)    | Category 'B' in the case of departments issuing the orders and departments |  |
|     | leave)                                   |                               | concerned;   |  |
|     |  |                               | Category 'C' for other departments who                                     |  |
|     |  |                               | may keep only the standing orders,   |  |
|     |  |                               | weeding out the superseded ones, as  |  |
|     |  |                               | and when they become obsolete  | Subject to quitable entries being mode   |
|     |  | 12.Group.A                    | _  | Subject to suitable entries being made   |
|     |  | 13.Group.B                    | C-3  | in the appropriate service record and  |
|     |  | 14.Group B(Non-Gazetted)      |  | leave account.   |
|     |  | 15.Group C                    | _  |  |
|     |  | 16.Group D                    |  |  |
|     | -  | 17.Leave roster               | To be destroyed at the end of the year                                     |  |
| 25. | Casual Leave                             | 11.Rules                      | Category 'B' in the case of departments                                    |  |
|     | (including special                       |                               | issuing the orders and departments   |  |
|     | leave)                                   |                               | concerned;   |  |
|     |  |                               | Category 'C' for other departments who                                     |  |
|     |  |                               | may keep only the standing orders,   |  |
|     |  |                               | weeding out the superseded ones, as  |  |
|     |  |                               | and when they become obsolete  |  |
|     |  | 12.Group.A                    | (a) Casual leave: To be destroyed at the                                   |  |
|     |  | 13.Group.B                    | end of the year  |  |
|     |  | 14.Group B(Non-Gazetted)      |  |  |
|     |  | 15.Group C                    | (b) Special casual leave: C-1  |  |
|     |  | 16.Group D                    |  |  |

| 26. | Pay/special pay                    | 11.Rules (general aspects)         12.War service (rules)         13.Political sufferers(Rules)         14.Group.A         15.Group.B         16.Group B(Non-Gazetted)         17.Group C         18.Group D  | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete<br>C-3 or one year after completion of<br>audit, whichever is later. | Subject to suitable entries being made<br>in the appropriate service record and<br>pay bill register and an authenticated<br>copy of the order, where issued, being<br>placed in the personal file. |
|-----|------------------------------------|---|--|---|
| 27. | Allowances                         | 11.Rules (general aspects)         12. Children's Education         Allowance (CEA) Rules (general aspects)         13.Claims regarding CEA         14.D.A., H.R.A. & CCA         15.Deputation (duty)allowance         16.Overtime allowance         17.Travelling allowance         18.Washing allowance         19.Educational concessions for children of political sufferers | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments may<br>keep only the standing orders, weeding<br>out the superseded ones, as and when<br>they become obsolete<br>C-3; or one year after completion of<br>audit, whichever is later     |   |
|     |                                    | 20.Air travel by non-entitled<br>personnel<br>21.Grant of non-practicing<br>allowance<br>22.(a) Grant of Risk allowance   | C-1<br>C-3 or one year after completion of<br>audit whichever is later.  |   |
| 28. | Confidential/<br>Assessment report | 11.Rules (general aspects)<br>12. Recording of confidential   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete  |   |

|     |                        | reports in respect of Group 'A' |   |  |
|-----|------------------------|---------------------------------|---|--|
|     |                        | officers.                       |   |  |
|     |                        | 13. Recording of confidential   |   |  |
|     |                        | reports in respect of Group 'B' |   |  |
|     |                        | officers                        |   |  |
|     |                        | 14. Recording of confidential   | 2.4                                       |  |
|     |                        | reports in respect of Group 'B' | C-1                                       |  |
|     |                        | (non-gazetted) staff            |   |  |
|     |                        | 15. Recording of confidential   |   |  |
|     |                        | reports in respect of Group 'C' |   |  |
|     |                        | staff                           |   |  |
|     |                        | 16. Recording of confidential   |   |  |
|     |                        | reports in respect of Group 'D' |   |  |
|     |                        | staff                           |   |  |
|     |                        | 17.Communication of adverse     | C-3                                       |  |
|     |                        | entries                         |   |  |
|     |                        | 18.Representation for           | C-3                                       |  |
|     |                        | expunction of adverse entries   |   |  |
| 29. | Increment              | 11.Rules (general aspects)      | Category 'B' in the case of departments   |  |
|     |                        |                                 | issuing the orders and departments        |  |
|     |                        |                                 | concerned;                                |  |
|     |                        |                                 | Category 'C' for other departments who    |  |
|     |                        |                                 | may keep only the standing orders,        |  |
|     |                        |                                 | weeding out the superseded ones, as       |  |
|     |                        |                                 | and when they become obsolete.            |  |
|     |                        | 12.Withholding of increments    | C-10; or C-3 after the final disposal of  | Subject to an authenticated copy of the  |
|     |                        |                                 | appeal or final judgment under the        | order being placed in the personal file  |
|     |                        |                                 | normal course of law, whichever is later. | and a suitable entry being made in the   |
|     |                        |                                 |   | appropriate service record.              |
|     |                        | 13. Representations and         | C-3                                       | If the representation results in the     |
|     |                        | petitions                       |   | original order being revised, an         |
|     |                        |                                 |   | authenticated copy of the relevant       |
|     |                        |                                 |   | order/decision will be kept in the       |
|     |                        |                                 |   | precedent book, personal file and        |
|     |                        |                                 |   | suitable entries made in the appropriate |
|     |                        |                                 |   | service record.                          |
| 30. | Probation/confirmation | 11.General principles           | Category 'B' in the case of departments   |  |
|     |                        | (Probation)                     | issuing the orders and departments        |  |
|     |                        |                                 | concerned;                                |  |
|     |                        |                                 | Category 'C' for other departments who    |  |
|     | •                      | •                               |   | ·  |

|     |                     |                               | · · · ·                                 | 1  |
|-----|---------------------|-------------------------------|---|--|
|     |                     |                               | may keep only the standing orders,      |  |
|     |                     |                               | weeding out the superseded ones, as     |  |
|     |                     | 12.Rules (Confirmation)       | and when they become obsolete.          |  |
|     |                     | 13. Confirmation/extension of |   | Subject to suitable entries being made   |
|     |                     | probation of Group A          |   | in the appropriate service record and    |
|     |                     | 14. Confirmation/extension of |   | an authenticated copy of the order       |
|     |                     | probation of Group B.         |   | being kept in the personal file.         |
|     |                     | 15. Confirmation of Group B   |   |  |
|     |                     | (non-gazetted)staff           |   |  |
|     |                     | 16. Confirmation of Group C   | C-5                                     |  |
|     |                     | staff                         |   |  |
|     |                     | 17. Confirmation of Group D   |   |  |
|     |                     | staff                         |   |  |
|     |                     | 18. Confirmation in ex-cadre  | 1                                       |  |
|     |                     | posts                         |   |  |
|     |                     | 19. Representations and       | C-5                                     | If the representation results in the     |
|     |                     | petitions                     |   | original orders                          |
|     |                     |                               |   | being revised, an authenticated copy of  |
|     |                     |                               |   | the relevant order/decision will be kept |
|     |                     |                               |   | in the precedent book, personal file and |
|     |                     |                               |   | suitable entries made in the appropriate |
|     |                     |                               |   | service record.                          |
| 32. | Promotion/reversion | 11.General principles         | Category 'B' in the case of departments |  |
|     |                     |                               | issuing the orders and departments      |  |
|     |                     |                               | concerned;                              |  |
|     |                     |                               | Category 'C' for other departments who  |  |
|     |                     |                               | may keep only the standing orders,      |  |
|     |                     |                               | weeding out the superseded ones, as     |  |
|     |                     |                               | and when they become obsolete.          |  |
|     |                     | 12.Departmental Promotion     | (a) Consultation: C-3 or one year after |  |
|     |                     | Committee                     | the D.P.C. has been reconstituted,      |  |
|     |                     |                               | whichever is later.                     |  |
|     |                     |                               |   |  |
|     |                     |                               | (b) Proceedings : C-5                   |  |
|     |                     | 13. Group 'A'                 |   | Subject to a suitable entry being made   |
|     |                     | 14. Group 'B'                 | 1                                       | in the appropriate service record and    |
|     |                     | 15. Group B (non-gazetted)    | C-5                                     | an authenticated copy of the order       |
|     |                     | 16. Group C                   |   | being placed in the personal file.       |
|     |                     | 17. Group D                   | 1                                       |  |
|     |                     | 18. Representations and       | C-3                                     | If the representation results in the     |
|     |                     | 10. IVEPIESEIIIallUIIS allu   | 0-0                                     |  |

|     |   | petitions  |  |   | original order being revised, an<br>authenticated copy of the relevant<br>order/decision will be kept in the<br>precedent book, personal file and<br>suitable entries made in the appropriate<br>service record.   |
|-----|---|--|--|---|--|
| 33. | Training/scholarships/<br>fellowships in India<br>and<br>abroad | <ul> <li>11. Diploma course in public<br/>administration in the Indian<br/>Institute of Public Administration</li> <li>12. Executive training of officers<br/>in the<br/>states</li> <li>13. Refresher course at the<br/>National<br/>Academy of Administration at<br/>Mussoorie</li> <li>14. Training in Accountancy</li> <li>15. Training of Assistants (direct<br/>recruits) at the Institute of<br/>Secretariat Training and<br/>Management</li> <li>16. Training in Hindi/English<br/>stenography</li> <li>17. Training in Hindi/English<br/>typewriting</li> <li>18. Training of LDCs (direct<br/>recruits) at the Institute of<br/>Secretariat Training and<br/>Management</li> <li>19. Training of officers at the<br/>Administrative Staff College at<br/>Hyderabad</li> <li>20. Training for stenographers<br/>(direct recruits) at the Institute of<br/>Secretariat Training and<br/>Management</li> <li>21. Training in O &amp; M /work</li> </ul> | <ul> <li>(a) Cases<br/>involving<br/>expenditure</li> <li>from public<br/>funds and<br/>execution of<br/>bond/</li> <li>agreement by<br/>the<br/>trainees;</li> <li>(b) cases<br/>involving direct<br/>expenditure</li> <li>from public<br/>funds but not<br/>execution of<br/>bond/</li> <li>agreement;</li> <li>(c) cases not<br/>involving direct<br/>expenditure</li> <li>(e.g. training in<br/>typewriting<br/>conducted by<br/>Institute of<br/>Secretariat<br/>Training<br/>and<br/>Management);</li> <li>(d) reports<br/>submitted by</li> </ul> | C-1 after the period<br>of validity<br>bond/agreement<br>or completion of<br>audit, whichever is<br>later.<br>C-3; or one year,<br>after completion of<br>audit, whichever is<br>later.<br>C-1<br>C-1 | Departments organising training<br>programmes and responsible for over<br>all policy and co- ordination thereof in<br>the matter (e.g. Department of<br>Personnel and Training and<br>Department of Economic Affairs) may<br>keep such records for<br>appropriate period to be prescribed by<br>them in their record retention<br>schedules. |

|     |                              | study.   | trainees etc.  |   |
|-----|------------------------------|--|--|---|
|     |                              | 22. Training abroad  | after completion   |   |
|     |                              | 3  | of training/study  |   |
| 34. | Departmental<br>Examinations | 11. Framing of rules   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete. |   |
|     |                              | 12.Holding of examinations   | C-3  |   |
|     |                              | 13.Results-declaration of  | C-3 for departments conducting such tests; one year for other departments  | Subject to suitable entry being made in<br>the appropriate service record and an<br>authenticated copy/extract being kept<br>in Vol. II of service book/personal file.  |
|     |                              | 14.Representations and petitions   | C-3  | If the representation results in the original orders being revised, an authenticated copy of the relevant order/decision will be kept in precedent book, Vol. II of the service book/personal file and suitable entries made in the appropriate service record.   |
| 35. | Deputations and delegations  | 11. Rules regarding deputation,<br>including deputation on foreign<br>service in India and abroad. | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete. |   |
|     |                              | 12. Delegation in India/abroad   | C-3; or one year after completion of<br>audit and settlement of all audit<br>objections, whichever is later.   | register prescribed for the purpose.<br>Before weeding out files, reports should<br>be removed and kept in the<br>departmental record room for five<br>years. On the expiry of this period, the<br>reports should be reviewed and, if<br>necessary, weeded out in consultation<br>with the National Archives. |
|     |                              | 13. Deputation of A.I.S. officers.   | C-3 plus the period of deputation.   | Subject to a suitable entry being made  |
|     |                              | 14. Deputation of C.S.S.   |  | in the appropriate service record and   |

|     |                      | <i>w</i>                       |  |                                       |
|-----|----------------------|--------------------------------|--|---------------------------------------|
|     |                      | officers.                      |  | an authenticated copy of the order    |
|     |                      | 15. Deputation of C.S.S.S.     |  | being placed in the personal file.    |
|     |                      | officers.                      |  |                                       |
|     |                      | 16. Deputation of C.S.C.S.     |  |                                       |
|     |                      | officers.                      |  |                                       |
|     |                      | 17. Organised services         |  |                                       |
| 36. | Delegation of powers | 11. Rules (general aspects)    | Category 'B' in the case of departments issuing the orders and departments |                                       |
|     |                      |                                | concerned;   |                                       |
|     |                      |                                | Category 'C' for other departments who                                     |                                       |
|     |                      |                                | may keep only the standing orders,   |                                       |
|     |                      |                                | weeding out the superseded ones, as  |                                       |
|     |                      |                                | and when they become obsolete  |                                       |
|     |                      | 12. F. R. & S. R.              | Category 'B' in the case of departments                                    |                                       |
|     |                      | 13. Delegation of Financial    | issuing the orders and departments   |                                       |
|     |                      | Power Rules, 1958              | concerned;   |                                       |
|     |                      | 14. Civil Service Regulations  | Category 'C' for other departments who                                     |                                       |
|     |                      | 15. Grant of ex-officio status | may keep only the standing orders,   |                                       |
|     |                      | 15. Grant of ex-officio status | weeding out the superseded ones, as  |                                       |
|     |                      |                                | and when they become obsolete.   |                                       |
| 37. | Honorarium/awards    | 11.Rules (general aspects)     | Category 'B' in the case of departments                                    |                                       |
| 57. | Tionoranum/awarus    | TT.Rules (general aspects)     | issuing the orders and departments   |                                       |
|     |                      |                                | concerned;   |                                       |
|     |                      |                                | Category 'C' for other departments who                                     |                                       |
|     |                      |                                | may keep only the standing orders,   |                                       |
|     |                      |                                |  |                                       |
|     |                      |                                | weeding out the superseded ones, as  |                                       |
|     |                      | 40.0                           | and when they become obsolete.   |                                       |
|     |                      | 12.Group A                     | C-3 or one year after completion of  | Awards subject to :-                  |
|     |                      | 13.Group B                     | audit, whichever is later.   |                                       |
|     |                      | 14.Group B (non-gazetted)      |  | (a) entries being made in the Service |
|     |                      | 15.Group C                     |  | Book/ CR dossier of the concerned     |
|     |                      | 16.Group D                     |  | employee and                          |
|     |                      |                                |  | (b) a register being maintained.      |
| 38. | Pension/retirement   | 11.Rules and orders (general   | Category 'B' in the case of departments                                    | Refer GFR appendix 13, Annex-1        |
|     |                      | aspects)                       | issuing the orders and departments   | · · · · ·                             |
|     |                      | , ,                            | concerned;   |                                       |
|     |                      |                                | Category 'C' for other departments who                                     |                                       |
|     |                      |                                | may keep only the standing orders,   |                                       |
|     |                      |                                | weeding out the superseded ones, as  |                                       |
| L   | 1                    |                                | moduling out the superseded ones, as                                       |                                       |

|     |                      |                              | and when they be                   |                       |   |
|-----|----------------------|------------------------------|------------------------------------|-----------------------|---|
|     |                      | 40.0                         | and when they be                   |                       |   |
|     |                      | 12.Group A                   | (a) Pre-                           | C-3                   |   |
|     |                      |                              | verification of                    |                       |   |
|     |                      |                              | pension case                       |                       |   |
|     |                      | 13.Group B                   |                                    | Till the youngest     |   |
|     |                      | 14.Group B (non-gazetted)    | (b) Invalid                        | son/daughter attains  |   |
|     |                      | 15.Group C                   | pension                            | majority or 5 years   |   |
|     |                      |                              | (c) Family                         | which is later.       |   |
|     |                      | 16.Group D                   | Pension                            |                       |   |
|     |                      |                              | (d) Other                          | C-5                   |   |
|     |                      |                              | pension                            |                       |   |
|     |                      |                              |                                    | C-15                  | Refer GFR appendix 13, annex-1                                    |
|     |                      |                              |                                    |                       |   |
|     |                      |                              | (e) Gratuity                       |                       |   |
|     |                      |                              |                                    |                       |   |
|     |                      |                              | (f) Commutation                    |                       |   |
|     |                      |                              | of pension                         |                       |   |
| 39. | Resignation          | 11.Rules and orders (general | Category 'B' in th                 | e case of departments |   |
|     |                      | aspects)                     | issuing the orders and departments |                       |   |
|     |                      |                              | concerned;                         |                       |   |
|     |                      |                              | ,                                  | other departments who |   |
|     |                      |                              |                                    | the standing orders,  |   |
|     |                      |                              |                                    | superseded ones, as   |   |
|     |                      |                              | and when they be                   |                       |   |
|     |                      | 12.Group A                   |                                    |                       | A copy of the communication accepting                             |
|     |                      | 13.Group B                   |                                    |                       | the resignation may be placed in the                              |
|     |                      |                              | C-1                                |                       | personal file.  |
|     |                      | 14.Group B (non-gazetted)    |                                    |                       |   |
|     |                      | 15.Group C                   |                                    |                       |   |
|     |                      | 16.Group D                   |                                    |                       |   |
| 40. | Extension of service | 11.Rules and orders (general |                                    | e case of departments |   |
|     |                      | aspects)                     |                                    | ers and departments   |   |
|     |                      |                              | concerned;                         |                       |   |
|     |                      |                              |                                    | other departments who |   |
|     |                      |                              |                                    | the standing orders,  |   |
|     |                      |                              |                                    | superseded ones, as   |   |
|     |                      |                              | and when they be                   | come obsolete.        |   |
|     |                      |                              | and milen and be                   |                       |   |
|     |                      | 12.Group A                   |                                    |                       |   |
|     |                      | 12.Group A<br>13.Group B     |                                    |                       | Subject to a copy of the order being                              |
|     |                      |                              | C-1 after retireme                 |                       | Subject to a copy of the order being placed in the personal file. |

|     |                            |    | 16.Group D  |  |  |
|-----|----------------------------|----|---|--|--|
| 41. | Re-employment              |    | 11.Rules and orders (general aspects)   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete. |  |
|     |                            | -  | 12.Group A<br>13.Group B<br>14.Group B (non-gazetted)<br>15.Group C<br>16.Group D                     | C-1 after the government servant ceases to be in government service  | A copy of the order may be placed in the personal file/                                |
| 43. | Nomination<br>employees    | of | 11.General aspects  | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete. |  |
|     |                            | -  | 12.Census operations<br>13.Committees, working groups,<br>etc.<br>14.Election work<br>15.Invigilation | C-1<br>Appropriate retention period to be<br>prescribed by departments concerned.<br>C-1<br>(a) Departments organising   |  |
|     |                            |    |   | examinations and appointing<br>invigilators: C-3; or one year after<br>completion of audit whichever is later.<br>(b) Other departments:C-1  |  |
| 44. | Forwarding<br>applications | of | ·   | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete. |  |
|     |                            | -  | 12.For examinations<br>13.For posts   | C-1 after announcement of result of the examination or selection for particular post.  | Subject to an authenticated copy of forwarding letter being kept in the personal file. |

| 45. | Study leave   | 11.Rules (general aspects)<br>12. Group A<br>13. Group B<br>14. Group B (non-gazetted)<br>15. Group C<br>16. Group D | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete.<br>C-1 after the expiry of the bond/<br>agreement executed by the government<br>servant | Subject to suitable entries being made<br>in the appropriate service record and<br>leave account and an authenticated<br>copy being kept in the personal file. |
|-----|---|--|--|--|
| 46. | No objection certificate<br>(for registration with<br>Employment<br>Exchange<br>Organization) | 11. General aspects  | Permanent in the case of departments<br>issuing the orders, instructions, etc.;<br>other departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.   |  |
|     |   | 12. Issue of N.O.C.  | C-1  | Subject to an authenticated copy being kept in the personal file.  |
| 47. | Review for<br>determining suitability<br>of employees for<br>continuance in service           | General aspects  | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete.   | Refer GFR appendix 13, Annex-1   |
|     |   | 12. Group A<br>13. Group B<br>14. Group B (non-gazetted)<br>15. Group C<br>16. Group D                               | <ul> <li>(a) If it results in pre-mature retirement:</li> <li>C-3</li> <li>(b) It results in continued retention in service: C-1</li> </ul>  | Subject to a copy of the relevant orders/decision being kept in the personal file.   |
| 48. | Review of cadres/<br>services   | 11. General aspects  | Category 'B' in the case of departments<br>issuing the orders and departments<br>concerned;<br>Category 'C' for other departments who<br>may keep only the standing orders,<br>weeding out the superseded ones, as<br>and when they become obsolete.   |  |

|     |                          | 12.Combination of cadres/ | Permanent                               |  |
|-----|--------------------------|---------------------------|---|--|
|     |                          | services                  |   |  |
|     |                          | 13.Seperation of cadres/  | Permanent                               |  |
|     |                          | services                  |   |  |
| 49. | No objection certificate | 11.General aspects        | Category 'B' in the case of departments |  |
|     | for issue of passport,   |                           | issuing the orders and departments      |  |
|     | arms licenses etc. to    |                           | concerned;                              |  |
|     | govt. servants.          |                           | Category 'C' for other departments who  |  |
|     |                          |                           | may keep only the standing orders,      |  |
|     |                          |                           | weeding out the superseded ones, as     |  |
|     |                          |                           | and when they become obsolete.          |  |
|     |                          | 12.Issue of passport      | C-5 or one year after completion of     |  |
|     |                          | 13.Issue of arms licenses | audit whichever is later.               |  |

#### **RECORDS OTHER THAN FILES**

| S.No. | Description of Records  | Retention Period   | Remarks   |
|-------|---|--|---|
| 1.    | Establishment/Sanction Register   | Permanent  | Where, for any reason, the register<br>is re-written, the old volume will be<br>kept for 3 years.                   |
| 2.    | Rosters for Scheduled Castes and Scheduled Tribes   | C-10   |   |
| 3.    | Register of oath/affirmation of allegiance to the Constitution  | C-3  | Subject to suitable entries having<br>been made in the appropriate<br>service record of the officials<br>concerned. |
| 4.    | Service book of:<br>Officials entitled to retirement/terminal benefits<br>Other employees                           | A-3 after issue of final pension/gratuity payment order.   | Refer GFT appendix 13, Annex-1  |
| 5.    | Confidential reports/character Rolls<br>After retirement<br>After death<br>After resignation/discharge from service | C-5<br>C-3<br>C-5  |   |
| 6.    | Answer books of departmental examinations/tests   | C-1 from the date of declaration of results.   |   |
| 7.    | Leave account of:<br>Officials entitled to retirement/terminal benefits<br>Other employees                          | C-3 after issue of final<br>pension/gratuity payment order.<br>C-3 after they have ceased to be<br>in service. |   |
| 8.    | Casual Leave Account  | To be destroyed at the end of the year   |   |
| 9.    | Special casual leave Register   | C-1  |   |
| 10.   | Register of delegations to International Organisations  | C-10   |   |

#### **B-WELFARE**

| S.No. |                                     | Page/Pages |
|-------|-------------------------------------|------------|
| 11    | General staff welfare measures      | 21         |
| 12    | Departmental council/office council | 21-22      |
| 13    | Grants-in-aid                       | 22         |
| 14    | Co-operative societies              | 22         |
| 15    | Central Secretariat Library         | 22-23      |
| 16    | Suggestions scheme                  | 23         |
| 17    | Departmental canteen                | 23-24      |
| 18    | Benevolent fund                     | 24         |

| S.No. | Descrip                                 | otion of record  |  |  |
|-------|---|--|--|--|
|       | Main head                               | Sub-head   | Retention period   | Remarks  |
| 11    | General staff welfare<br>measures       | 11.Broad aspects<br>12.CSS (Recognition of Service<br>Association) Rules   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|       |   | 13.Recognition of Association<br>(individual cases)  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. | These records may have some historical value.  |
| 12.   | Departmental council/<br>office council | 11. General aspects/<br>instructions   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|       |   | <ol> <li>Departmental Council-<br/>constitution</li> <li>Office Council- constitution</li> <li>Meetings of Departmental<br/>Council</li> <li>Meetings of Office Council</li> <li>Meetings of Regional<br/>Council</li> </ol> | C-1  | Subject to follow-up action, where necessary<br>being taken on appropriate subject files to<br>which relevant extracts may be taken. |
|       |   | 17. Staff Union/Association (a) Recognition  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the  |  |

|     |                                | (b) Representations  | orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.<br>Appropriate periods to be determined<br>by the departments concerned<br>according to the importance of subject<br>matter.           | Such records may have some historical value. |
|-----|--------------------------------|--|--|--|
| 13  | Grants-in-aid                  | 11.General aspects<br>12.Grant for sports and other        | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones as and when they<br>become obsolete.<br>C-3; or one year after completion of |  |
|     |                                | cultural activities<br>13.Submission of annual<br>accounts | audit, whichever is later.   |  |
| 14. | Cooperative Societies          | 11.Rules and bye-laws (general aspects)                    | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.                                   |  |
|     |                                | 12.Election of office bearers                              | C-1 after the next election  |  |
|     |                                | 13.Meetings of co-operative societies                      | C-1  |  |
|     |                                | 14.Recoverty of contribution and<br>loans                  | C-1  |  |
| 15. | Central Secretariat<br>Library | 11.General aspects   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the  |  |

|     |   | 12.Membership application   | orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.<br>C-1   | Subject to a copy of the guarantee letter being kept in the personal file.                                     |
|-----|---|---|--|--|
| 16. | Suggestions Scheme and<br>Award Schemes | 11.General aspects  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|     |   | <ul> <li>13.Departmental Committee:</li> <li>(a) Constitution</li> <li>(b) Proceedings</li> <li>13(b) Apex Committee</li> </ul> | C-3 or one year after reconstitution,<br>whichever is later.<br>C-1  | Subject to follow-up action being taken on appropriate subject files, to which relevant extracts may be taken. |
|     |   | <ul><li>(a) Constitution</li><li>(b) Proceedings</li></ul>  | C-3 or one year after reconstitution,<br>whichever is later.<br>C-3 or one year after completion of<br>audit.  |  |
|     |   | 14.Suggestions/ employees'<br>performance<br>(a) those rewarded<br>(b) those not accepted                                       | C-3 or one year after completion of audit whichever is later.<br>C-1   | Subject to follow-up action being taken on appropriate subject files, to which relevant extract may be taken.  |
| 17. | Departmental canteens                   | 11.General aspect/Instructions  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |

|     |                 | 12.Subsidy & grants and         |   |  |
|-----|-----------------|---------------------------------|---|--|
|     |                 | maintenance of accounts         | audit whichever is later.               |  |
|     |                 | 13.Purchase of crockery/        | C-3 or one year after the completion of |  |
|     |                 | cutleries/ plates/furniture     | audit whichever is later.               |  |
|     |                 | 14.Fixation of prices of the    | C-3 or one year after the completion of |  |
|     |                 | eatable items of the canteen    | audit whichever is later.               |  |
| 18. | Benevolent Fund | 11.General aspects              | 'A' or 'B' category, depending on the   |  |
|     |                 |                                 | content value, in the case of           |  |
|     |                 |                                 | departments issuing the                 |  |
|     |                 |                                 | orders/instructions etc. and the        |  |
|     |                 |                                 | departments concerned; other            |  |
|     |                 |                                 | departments need keep only the          |  |
|     |                 |                                 | standing orders, weeding out the        |  |
|     |                 |                                 | superseded ones, as and when they       |  |
|     |                 |                                 | become obsolete.                        |  |
|     |                 | 12.Maitenance of accounts       | C-3 or one year after the completion of |  |
|     |                 |                                 | audit whichever is later.               |  |
|     |                 | 13.Collection of contribution & | C-3 or one year after the completion of |  |
|     |                 | sanction of loans               | audit whichever is later.               |  |
|     |                 | 14. Committee meetings &        | C-1                                     |  |
|     |                 | related matters.                |   |  |

| S.No. |   | Page/Pages |
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| 11    | Central Civil Services (Classification, Control and Appeal) Rules- Clarification and interpretation of          | 26         |
| 12    | All India Services (Discipline and Appeal) Rules, 1955- Clarification and interpretation of                     | 26         |
| 13    | Complaints  | 26-27      |
| 14    | Disciplinary proceedings  | 27         |
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| 19    | Central Civil Services (Conduct) Rules – 1964 – Clarification and interpretation of                             | 28         |
| 20    | All India Services (Conduct) Rules, 1954 – Clarification and interpretation of                                  | 28         |
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| 22    | Employment of dependents in private firms/foreign missions in India   | 28         |
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| 30    | Vigilance Administration  | 29-30      |
| 31    | Prosecution of further studies  | 30         |
| 32    | Membership of Territorial Army, Auxiliary Air Force and Naval Reserve   | 30-31      |

# **C-VIGILANCE**

|     | Description  | of record                        | Retention period   |                                     | Remarks   |
|-----|--|----------------------------------|--------------------|-------------------------------------|---|
|     | Main head  | Sub-head                         | recention period   |                                     |   |
| 11. | Central Civil Services                                 | 11.General notifications         | 'A' or 'B' catego  | ry, depending on the                |   |
|     | (Classification, Control &                             | 12. Schedule regarding           |                    | in the case of                      |   |
| ľ   | Appeal) Rules- Clarification                           | appointing Authority,            | departments        | issuing the                         |   |
| ľ   | and interpretation of                                  | Disciplinary Authority and       | orders/instruction | 0                                   |   |
| ľ   |  | Appellate Authority              | departments        | concerned; other                    |   |
| ľ   |  | 13. Regarding charge sheets,     |                    | ed keep only the                    |   |
| ľ   |  | documentary evidence, Enquiry    | standing orders    | , weeding out the                   |   |
| ľ   |  | Officer, examination of          | superseded one     | s as and when they                  |   |
| ľ   |  | witnesses and show-cause         | become obsolete    | ,"                                  |   |
| ľ   |  | notices                          |                    |                                     |   |
| ľ   |  | 14. Regarding penalties          |                    |                                     |   |
| ľ   |  |                                  |                    |                                     |   |
|     |  | 15. Regarding consultation with  |                    |                                     |   |
| ľ   |  | UPSC                             |                    |                                     |   |
| ľ   |  | 16. Regarding appeals and        |                    |                                     |   |
| ľ   |  | petitions                        |                    |                                     |   |
| ľ   |  | 17. Regarding suspension and     |                    |                                     |   |
| 10  | All India Canviana (Diasialina 8                       | subsistence allowance            | · A' an ·D' actors | w. depending on the                 |   |
| 12. | All India Services (Discipline & Appeal) Rules, 1955 – | 12.Regarding Rules 1 to 7        |                    | ry, depending on the in the case of |   |
| ľ   | Clarification and interpretation of                    | 13.Regarding Rules 8 to 11       | departments        | issuing the                         |   |
| ľ   |  | 14.Regarding Rules 12 to 18      | orders/instruction | 0                                   |   |
| ľ   |  | 15.Regarding Rules 19 to 23      |                    | concerned; other                    |   |
| ľ   |  | 10.1. Cegaraling Traics 10 to 20 |                    | ed keep only the                    |   |
| ľ   |  |                                  |                    | , weeding out the                   |   |
| ľ   |  |                                  | superseded one     | s, as and when they                 |   |
|     |  |                                  | become obsolete    |                                     |   |
| 13. | Complaints   | 11.Group A                       | (a) Those          |                                     |   |
| ľ   |  | 12.Group B                       | leading to         | disposal of appeal                  |   |
| ľ   |  | 13.Group C                       | vigilance /        | or final judgment                   |   |
| ľ   |  | 14.Group D                       | disciplinary       | under the normal                    | If an a month of the annual sint a sector is  |
| ľ   |  | 15.General-against two or more   | enquiries:         | course of law.                      | If as a result of the complaint a warning is  |
| ľ   |  | classes                          | (b) Anonymous      | to be destroyed at                  | issued to the Govt. servant a copy of the relevant order will be placed in the personal |
| ľ   |  |                                  | (b) Anonymous      | the end of the year                 | file.   |
| ľ   |  |                                  | pseudonymous       | the end of the year                 | 1116.   |
|     |  |                                  | complaints on      |                                     |   |
| ł   |  |                                  | which no action    |                                     |   |

|     |                          |  | is taken:   |   |   |
|-----|--------------------------|--|---|---|---|
|     |                          |  | (c) Other complaints:   | C-3   |   |
| 14  | Disciplinary proceedings | 11.Group A<br>12.Group B<br>13.Group C<br>14.Group D<br>15.Joint enquiry | (a) Resulting in<br>imposition of<br>penalties:   | 3 years after the<br>final disposal of<br>appeal or final<br>judgment under the<br>normal course of<br>law or till the<br>prescribed retention<br>period. | Subject to an authenticated copy of the<br>order regarding imposition of penalty or<br>warning being placed in the personal file<br>and a suitable entry being made in the<br>appropriate service record. |
|     |                          |  | (b) Resulting in<br>exoneration of<br>the accused<br>officials with or<br>without<br>warning: | 3 years after the<br>final disposal of<br>appeal or final<br>judgment under the<br>normal course of<br>law or till the<br>prescribed retention<br>period. |   |
| 15. | Prosecutions             | 11.Group A<br>12.Group B<br>13.Group C<br>14.Group D<br>15.Joint enquiry | a) Resulting in<br>imposition of<br>penalties:  | C-3 after the final<br>disposal of appeal<br>or final judgment<br>under the normal<br>course of law or till<br>the prescribed<br>retention period.        | Subject to a copy of the final judgment<br>being placed in personal file and a suitable<br>entry being made in the appropriate service<br>record.   |
|     |                          |  | (b) Resulting in<br>exoneration of<br>the accused<br>officials with or<br>without<br>warning: | C-3 after the final<br>disposal of appeal<br>or final judgment<br>under the normal<br>course of law or till<br>the prescribed<br>retention period.        |   |
| 16. | Appeals                  | 11.Group A<br>12.Group B<br>13.Group C<br>14.Group D                     | C-3 or till the period, whicheve  | prescribed retention<br>er is earlier.  | If, as a result of the appeal the original order<br>is modified, a copy of the revised order will<br>be placed in the personal file and a suitable<br>entry made in the appropriate service               |

|     |  |   |  | record.  |
|-----|--|---|--|--|
| 17  | Petitions  | 11.Group A<br>12.Group B<br>13.Group C<br>14.Group D  | C-3 or till the prescribed retention period, whichever is earlier.   | If, as a result of the petition the original<br>order is modified, a copy of the revised<br>order will be placed in the personal file and<br>a suitable entry made in the appropriate<br>service record. |
| 18  | Court cases<br>/Arbitrations/Enquiry/Audit   | 11.Group A<br>12.Group B<br>13.Group C<br>14.Group D  | 3 years after final clearance from<br>Arbitration, Litigation, Enquiry or Audit<br>as the case may be or till the<br>prescribed retention period, whichever<br>is later  | Subject to a copy of the court order being<br>placed in the personal file and, where<br>necessary a suitable entry being made in<br>the appropriate service record.                                      |
| 19  | Central Civil Services<br>(Conduct) Rules, 1964-<br>Clarification and<br>interpretation of                           | <ul> <li>11.General notifications</li> <li>12.Regarding Rules 1 to 7</li> <li>13.Regarding Rules 8 to 11</li> <li>14.Regarding Rules 12 to 18</li> <li>15.Regarding Rules 19 to 25</li> </ul> | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
| 20  | All India Services (Conduct)<br>Rules, 1954 – Clarification and<br>interpretation of                                 | 11.General notifications<br>12.Regarding Rules 1 to 7<br>13.Regarding Rules 8 to 14<br>14.Regarding Rules 15 to 20  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
| 21. | Central Civil Services<br>(Safeguarding of National<br>Security) Rules, 1953-<br>Clarification and interpretation of | 11.General notifications<br>12.Regarding Rules 1 to 2<br>13.Regarding Rules 3 to 4<br>14.Regarding Rules 5 to 7   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
| 22. | Employment of dependents in private firms/foreign missions in India  | 11.Intimation<br>12.Sanction  | C-3  |  |

| 23. | Participation in politics  | 11.Intimation   | C-3   |   |   |
|-----|--|---|---|---|---|
| 24  | Radio broadcasts, contribution of articles editing or managing of newspapers, publications | 12.Sanction   | C-3   |   |   |
| 25. | Evidence before Committee of Enquiry   | 11.Sanction   | C-3   |   |   |
| 26  | Subscriptions  | 11.Sanction   | C-3   |   |   |
| 27. | Gifts  | 11.Intimation   |   |   |   |
| 28. | Private trade employment   | 11.Sanction   | C-3   |   |   |
| 29. | Moveable/Immovable property  | 11.Property returns (general aspects)   | content value,<br>departments<br>orders/instructions<br>departments nee<br>standing orders,   | concerned; other<br>ed keep only the<br>weeding out the<br>, as and when they   |   |
|     |  | 12.Returns of Group A13.Returns of Group B(gazetted)14.Returns of Group B (non-gazetted)15.Returns of Group C | <ul> <li>(a) In respect of<br/>employees<br/>entitled to<br/>retirement<br/>benefits</li> <li>(b) In respect of<br/>other<br/>employees:</li> </ul> | C-3 after the issue<br>of final<br>pension/gratuity<br>payment order<br>C-3 after the<br>employee has<br>ceased to be in<br>service | Should preferably be dealt with on a separate file for each official to be kept open throughout the official career of the government servants.   |
|     |  | 16.Intimation<br>17.Sanction  | C-3   |   | Should preferably be dealt with on a separate file for each official to be opened under the appropriate subject/ functional heading and kept open throughout the official career of government servant. |
| 30  | Vigilance Administration   | <ul><li>11.General aspects</li><li>12.Acts, rules, manuals</li><li>13.Vigilance set-up</li></ul>              | content value,<br>departments<br>orders/instructions<br>departments departments nee   | y, depending on the<br>in the case of<br>issuing the<br>s etc. and the<br>concerned; other<br>ed keep only the<br>weeding out the   |   |

|     | 1                               |  |                                     | · · · ·             |  |
|-----|---------------------------------|--|-------------------------------------|---------------------|--|
|     |                                 |  |                                     | , as and when they  |  |
|     |                                 |  | become obsolete.                    |                     |  |
|     |                                 | 14.Meetings  | (a) For                             | Appropriate period  | Subject to follow-up action where            |
|     |                                 |  | departments                         | to be prescribed by | necessary, being taken on appropriate        |
|     |                                 |  | organizing such                     | departments         | subject files to which relevant extracts may |
|     |                                 |  | meetings:                           | concerned in their  | be taken.                                    |
|     |                                 |  | · · · · · · · · · · · · · · · · · · | record retention    |  |
|     |                                 |  |                                     | schedule.           |  |
|     |                                 |  | (b) For other                       | C-1                 |  |
|     |                                 |  | departments:                        |                     |  |
|     |                                 | 15. Appointment of vigilance   | C-3                                 |                     |  |
|     |                                 | officers in Ministries/  |                                     |                     |  |
|     |                                 | departments  |                                     |                     |  |
|     |                                 | 16. List of officers of doubtful                                     | C-10                                |                     |  |
|     |                                 | integrity  | -                                   |                     |  |
|     |                                 | 17. Cases of difference of   |                                     |                     |  |
|     |                                 | opinion with Central Vigilance                                       |                                     |                     |  |
|     |                                 | Commission   |                                     |                     |  |
|     |                                 | 17(a) Cases of difference of   |                                     |                     |  |
|     |                                 | opinion with other Constitutional                                    |                                     |                     |  |
|     |                                 | Bodies   |                                     |                     |  |
|     |                                 | 18. Granting of vigilance  | C-3                                 |                     |  |
|     |                                 |  | 0-3                                 |                     |  |
|     |                                 | clearance in respect of different classes of officers and the staff: |                                     |                     |  |
|     |                                 |  | 0.5                                 |                     |  |
| 04  | Descention of furth sector list | 19. Annual Reports of CVC  | C-5                                 |                     |  |
| 31. | Prosecution of further studies  | 11.General aspects   |                                     | y, depending on the |  |
|     |                                 |  | ,                                   | in the case of      |  |
|     |                                 |  | departments                         | issuing the         |  |
|     |                                 |  | orders/instruction                  |                     |  |
|     |                                 |  |                                     | concerned; other    |  |
|     |                                 |  |                                     | ed keep only the    |  |
|     |                                 |  |                                     | weeding out the     |  |
|     |                                 |  |                                     | , as and when they  |  |
|     |                                 |  | become obsolete.                    |                     |  |
|     |                                 | 12.Persmission   |                                     | after completion of | Subject to suitable entry being made in the  |
|     |                                 |  | study, whichever                    | s later.            | appropriate service record and               |
|     |                                 |  |                                     |                     | authenticated copy of the order being        |
|     |                                 |  |                                     |                     | placed in the Personal File.                 |
| 32. | Membership of Territorial       | 11.General aspects   | 'A' or 'B' categor                  | y, depending on the |  |
| 1   | Army, Auxiliary Air force       |  | content value.                      | in the case of      |  |

| and Naval Reserve |               | departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|-------------------|---------------|--|--|
|                   | 12.Permission | C-3 or one year after the official has<br>ceased to be a member of such<br>organisation, whichever is later.   |  |

| S.No. |  | Page/Pages |
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| 11    | Accommodation  | 33-35      |
| 12    | Central Government Health Scheme   | 35         |
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| 17    | Duplicating machines   | 38         |
| 18    | Calculating and accounting machines  | 38-39      |
| 19    | Other office machines  | 39         |
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| 21    | Office equipment including electrical and mechanical appliances and other miscellaneous stores | 40         |
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|       | Records other than files   | 45-46      |

| S.No. | Descrip       | tion of record   |   |         |
|-------|---------------|--|---|---------|
|       | Main head     | Sub-head   | Retention period  | Remarks |
| 11    | Accommodation | 11.Office accommodation (general aspects)                                    | 'A' or 'B' category, depending on the content value, in the case of                                 |         |
|       |               |  | departments issuing the orders/instructions etc. and the  |         |
|       |               |  | departments concerned; other departments need keep only the   |         |
|       |               |  | standing orders, weeding out the superseded ones, as and when they                                  |         |
|       |               |  | become obsolete.  |         |
|       |               | 12.Requirements of office accommodation – Estimate to Directorate of Estates | C-1   |         |
|       |               | 13.Shifting arrangements   | (a) If involving expenditures: C-3 or<br>one year after completion of audit,<br>whichever is later. |         |
|       |               |  | (b) In other cases: C-1   |         |
|       |               | 14. Residential accommodation (general aspects)                              | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the   |         |
|       |               |  | orders/instructions etc. and the departments concerned; other                                       |         |
|       |               |  | departments need keep only the  |         |
|       |               |  | standing orders, weeding out the superseded ones, as and when they                                  |         |
|       |               | 45 Applications for allatment of   | become obsolete.<br>C-1   |         |
|       |               | 15. Applications for allotment of residential accommodation-<br>Types I-VII  | 6-1   |         |
|       |               | 16. Applications for change/<br>exchange of accommodation                    | C-1   |         |
|       |               | 17. Applications for free/reduced rent accommodation                         | C-3 or one year after completion of audit whichever is later.                                       |         |
|       |               | 18. Application/offer of out of-<br>turn accommodation, its                  | C-1   |         |
|       |               | acceptance, rejection and relevant correspondence in relation there to       |   |         |

|   | 19. Application    | for sharing      | C-1                                   |   |
|---|--------------------|------------------|---------------------------------------|---|
|   | residential accon  |                  |                                       |   |
|   | 20.Application     |                  | C-1                                   | Subject to a copy of the guarantee letter being   |
|   | water and electr   |                  |                                       | placed in the personal file.                      |
|   | issue of letter of |                  |                                       |   |
|   | 21.Application for |                  | C-1                                   |   |
|   | accommodation      |                  |                                       |   |
|   | 22.Offer of regul  | ar allotment for | C-1                                   |   |
|   | Type I to IV,      |                  |                                       |   |
|   | rejection ar       |                  |                                       |   |
|   | correspondence     |                  |                                       |   |
|   | 23.Offer of regul  | ar allotment for | C-1                                   |   |
|   | Type V to VII,     |                  |                                       |   |
|   | rejection ar       |                  |                                       |   |
|   | correspondence     |                  |                                       |   |
|   | 24. Unauthorised   | sub-letting of   | C-1                                   | If, as a result of the enquiry the government     |
|   | Government acc     |                  |                                       | Servant is disqualified for government            |
|   |                    | minodation       |                                       | accommodation or any other penalty is             |
|   |                    |                  |                                       | imposed on him, a copy of the relevant order      |
|   |                    |                  |                                       | may be placed in the personal file.               |
|   | 25. Waiting lists  | of various types | To be destroyed at the end of the     | may be placed in the personal file.               |
|   | of accommodatio    |                  | year.                                 |   |
|   | pool               | in nom general   | year.                                 |   |
|   |                    | ent allowance    | 'A' or 'B' category, depending on the |   |
|   | (general aspects   |                  | content value, in the case of         |   |
|   | (general aspects   |                  | departments issuing the               |   |
|   |                    |                  | orders/instructions etc. and the      |   |
|   |                    |                  | departments concerned; other          |   |
|   |                    |                  | departments need keep only the        |   |
|   |                    |                  | standing orders, weeding out the      |   |
|   |                    |                  | superseded ones, as and when they     |   |
|   |                    |                  | become obsolete.                      |   |
|   | 27. Approval o     | the scale of     |                                       |   |
|   | accommodation      |                  | audit whichever is later.             |   |
|   |                    | allowance on     |                                       |   |
|   | percentage basis   |                  |                                       |   |
|   | 28. Acquisition/   |                  | 'A' or 'B' category, depending on the | A suitable entry will be made in assets register. |
|   | building/ land for |                  | content value, in the case of         | A Suitable entry will be made in assets register. |
| 1 |                    | Unicial use      | departments issuing the               |   |
|   |                    |                  |                                       |   |
|   |                    |                  | orders/instructions etc. and the      |   |

|     |                     |                                 | departments concerned; other             |   |
|-----|---------------------|---------------------------------|--|---|
|     |                     |                                 | departments need keep only the           |   |
|     |                     |                                 | standing orders, weeding out the         |   |
|     |                     |                                 | superseded ones, as and when they        |   |
|     |                     |                                 | become obsolete.                         |   |
|     |                     | 29. Hiring/ requisitioning of   | C-3 or one year after completion of      |   |
|     |                     | private property                | audit or C-1 after termination of lease/ |   |
|     |                     |                                 | contract, whichever is the latest.       |   |
|     |                     | 30. Additions, alternations and | C-3 or one year after completion of      |   |
|     |                     | Maintenance                     | audit whichever is later                 |   |
| 12  | Central Government  | 11.CGHS Rules (general          | Category 'B' in the case of              |   |
|     | Health Scheme       | aspects)                        | departments issuing orders,              |   |
|     |                     |                                 | instructions etc.; other departments     |   |
|     |                     |                                 | need keep only the standing orders,      |   |
|     |                     |                                 | weeding out the superseded ones as       |   |
|     |                     |                                 | and when they become obsolete.           |   |
|     |                     | 12.Issue of CGHS identity cards | C-1                                      | Subject to a suitable entry being made in the |
|     |                     | 13.Alterations/additions in     |  | register of C.G.H.S. Identity cards.          |
|     |                     | identity cards                  |  |   |
|     |                     | 14.Medical charges (general     | 'A' or 'B' category, depending on the    |   |
|     |                     | aspects)                        | content value, in the case of            |   |
|     |                     |                                 | departments issuing the                  |   |
|     |                     |                                 | orders/instructions etc. and the         |   |
|     |                     |                                 | departments concerned; other             |   |
|     |                     |                                 | departments need keep only the           |   |
|     |                     |                                 | standing orders, weeding out the         |   |
|     |                     |                                 | superseded ones, as and when they        |   |
|     |                     |                                 | become obsolete.                         |   |
|     |                     | 15.Medical charges              | C-3 or one year after completion of      |   |
|     |                     | reimbursement                   | audit,                                   |   |
|     |                     |                                 | whichever is late.                       |   |
|     |                     | 16.Appointment of AMA           | C-5 or one year after new AMA is         |   |
|     |                     | (Authorised Medical Attendant)  | appointed, whichever is late.            |   |
|     |                     | for non-CGHS beneficiaries.     |  |   |
| 13. | Working environment | 11.Rules (general aspects)      | 'A' or 'B' category, depending on the    |   |
|     |                     |                                 | content value, in the case of            |   |
|     |                     |                                 | departments issuing the                  |   |
|     |                     |                                 | orders/instructions etc. and the         |   |
|     |                     |                                 | departments concerned; other             |   |
|     |                     |                                 | departments need keep only the           |   |

|          |                      |                                   | standing orders, weeding out the                                   |   |
|----------|----------------------|-----------------------------------|--|---|
|          |                      |                                   | superseded ones, as and when they                                  |   |
|          |                      |                                   | become obsolete.   |   |
|          |                      | 12.Provision of air-conditioners/ | (a) Procurement through CPWD: C-1                                  | Subject to (a) suitable entries being made in                                       |
|          |                      | desert coolers/gulmarg            | (b) Purchase/hiring: C-3 or one year                               | the appropriate stock register in the case of                                       |
|          |                      | 13.Provision of fans              | after completion of audit, whichever it                            | purchase, and (b) a proper account of receipt,                                      |
|          |                      | 14. Provision of Khas tatties     | later.   | issue and return being maintained in other  |
|          |                      |                                   |  | cases.  |
|          |                      | 15.Waterman engagement of         | C-3 or one year after completion of                                |   |
|          |                      | during summer season              | audit, whichever is later.   |   |
|          |                      | 16.Provision of Suahis            | C-3 or one year after completion of                                |   |
|          |                      |                                   | audit, whichever is later.   |   |
|          | 1                    | 17.Provision of heaters           | C-3 or one year after completion of                                | Subject to suitable entries being made in the                                       |
|          |                      |                                   | audit, whichever is later.   | appropriate stock register  |
|          |                      | 18. Provision of coal to Group D  | C-3 or one year after completion of                                | Subject to proper account being maintained in                                       |
|          |                      | ·····                             | audit, whichever is later.   | the appropriate register.   |
|          | 1                    | 19. Provision of glass tumblers   | C-3 or one year after completion of                                | Subject to proper account being maintained in                                       |
|          |                      | and jugs                          | audit, whichever is later.   | the appropriate register.   |
|          | 1                    | 20. Maintenance of air-           | C-3 or one year after completion of                                | Subject to proper account being maintained  |
|          |                      | conditioners, fans, heaters etc.  | audit, whichever is later.   | in the appropriate register.  |
| 14       | Furniture            | 11. Rules for purchase, hire,     | 'A' or 'B' category, depending on the                              |   |
|          |                      | condemnation (general aspects)    | content value, in the case of                                      |   |
|          |                      | general appeals)                  | departments issuing the  |   |
|          |                      |                                   | orders/instructions etc. and the                                   |   |
|          |                      |                                   | departments concerned; other                                       |   |
|          |                      |                                   | departments need keep only the                                     |   |
|          |                      |                                   | standing orders, weeding out the                                   |   |
|          |                      |                                   | superseded ones, as and when they                                  |   |
|          |                      |                                   | become obsolete.   |   |
|          |                      | 12. Condemnation/ disposal of     | C-3 or one year after completion of                                | Subject to quitable entries being made in the                                       |
|          |                      | unserviceable articles            |  |   |
| <u> </u> |                      |                                   | audit, whichever is later.   | appropriate stock/assets register.<br>Subject to suitable entries being made in the |
|          |                      | 13. Hiring/purchase               | C-3 or one year after completion of                                |   |
| <u> </u> |                      | 14 Maintananas and ranging        | audit, whichever is later.   | appropriate stock, assets register.   |
|          |                      | 14. Maintenance and repairs       | C-3 or one year after completion of                                |   |
|          |                      | 15. Physical verification         | audit, whichever is later<br>C-3 or one year after completion of   |   |
| 1        |                      |                                   | LU-3 OF ONE YEAR ATTER COMPLETION OF                               |   |
|          |                      | 10.1 Hysical vernication          |  |   |
|          |                      |                                   | audit, whichever is later  |   |
| 15       | Stationery and forms | 11. Rules for procurement         | audit, whichever is later<br>'A' or 'B' category, depending on the |   |
| 15       | Stationery and forms |                                   | audit, whichever is later  |   |

|    |             | 1  | andere/instructions at a state of the                               | 1   |
|----|-------------|--|---|---|
|    |             |  | orders/instructions etc. and the                                    |   |
|    |             |  | departments concerned; other departments need keep only the         |   |
|    |             |  | standing orders, weeding out the                                    |   |
|    |             |  | superseded ones, as and when they                                   |   |
|    |             |  | become obsolete.  |   |
|    |             | 12. Indent for forms on                                  | C-1   |   |
|    |             | Controller of stationery                                 |   |   |
|    |             | 13. Indent for stationery on<br>Controller of stationery | C-1   |   |
|    |             | 14. Local purchase                                       | C-3 or one year after completion of                                 | Subject to suitable entries being made in the |
|    |             |  | audit, whichever is later.  | appropriate stock register.                   |
|    |             | 15. Supply of stationery                                 | C-1   | Subject to suitable entries being made in the |
|    |             |  |   | appropriate stock register.                   |
|    |             | 16.Physical verification                                 | C-3 or one year after completion of                                 |   |
|    |             |  | audit, whichever is later   |   |
| 16 | Typewriters | 11. Rules for procurement/<br>disposal (general aspects) | 'A' or 'B' category, depending on the content value, in the case of |   |
|    |             |  | departments issuing the   |   |
|    |             |  | orders/instructions etc. and the                                    |   |
|    |             |  | departments concerned; other  |   |
|    |             |  | departments need keep only the                                      |   |
|    |             |  | standing orders, weeding out the                                    |   |
|    |             |  | superseded ones, as and when they                                   |   |
|    |             |  | become obsolete.  |   |
|    |             | 12. Condemnation and disposal                            | C-3 or one year after completion of                                 | Subject to suitable entries being made in the |
|    |             |  | audit, whichever is later   | appropriate stock register                    |
|    |             |  |   |   |
|    |             | 13. DGS & D rate contracts                               | 'A' or 'B' category, depending on the                               |   |
|    |             |  | content value, in the case of                                       |   |
|    |             |  | departments issuing the   |   |
|    |             |  | orders/instructions etc. and the                                    |   |
|    |             |  | departments concerned; other  |   |
|    |             |  | departments need keep only the                                      |   |
|    |             |  | standing orders, weeding out the                                    |   |
|    |             |  | superseded ones, as and when they                                   |   |
|    |             |  | become obsolete.  |   |
|    |             | 14. Hiring   | C-3 or one year after completion of                                 | Subject to suitable entries being made in the |
|    |             | 15. Purchase   | audit, whichever is later.  | appropriate stock/ assets register.           |
|    |             | 16. Repairs and maintenance                              | C-3 or one year after completion of                                 | -   |
|    | L           |  |   |   |

|     |                                     | and bills thereof  | audit, whichever is later.   |   |
|-----|-------------------------------------|--|--|---|
|     |                                     | 17. Physical verification  | C-3 or one year after completion of audit, whichever is later.   |   |
| 17. | Duplicating machines                | 11.Rules for procurement /disposal (general aspects)   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.                               |   |
|     |                                     | 12.Condemnation and disposal   | C-3 or one year after completion of audit, whichever is later.   | Subject to suitable entries being made in the appropriate stock/ assets register. |
|     |                                     | 13.DGS & D rate contracts  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.                               |   |
|     |                                     | 14. Hiring<br>15. Purchase   | C-3 or one year after completion of<br>audit, whichever is later.  | Subject to suitable entries being made in the appropriate stock/ assets register. |
|     |                                     | 16. Repairs and maintenance<br>and bills thereof<br>17. Physical verification  | C-3 or one year after completion of audit, whichever is later.<br>C-3 or one year after completion of  |   |
| 18  | Calculating and accounting machines | <ul> <li>11. Rules for procurement/<br/>disposal (general aspects)</li> <li>12. Condemnation and disposal</li> </ul> | audit, whichever is later.<br>'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. | Subject to suitable entries being made in the                                     |

|    |                       |   | audit, whichever is later.                                     | appropriate stock register.                   |
|----|-----------------------|---|--|---|
|    |                       | 13. DGS & D rate contracts  | 'A' or 'B' category, depending on the                          |   |
|    |                       | 13. DGS & D Tale contracts  | content value, in the case of                                  |   |
|    |                       |   | departments issuing the  |   |
|    |                       |   | orders/instructions etc. and the                               |   |
|    |                       |   | departments concerned; other                                   |   |
|    |                       |   | departments need keep only the                                 |   |
|    |                       |   | standing orders, weeding out the                               |   |
|    |                       |   | superseded ones, as and when they                              |   |
|    |                       |   | become obsolete.   |   |
|    |                       | 14. Hiring  | C-3 or one year after completion of                            | Subject to suitable entries being made in the |
|    |                       | 14. Thing<br>15. Purchase   | audit, whichever is later.                                     | appropriate stock register.                   |
|    |                       |   |  |   |
|    |                       | <ol> <li>Repairs and maintenance<br/>and bills thereof</li> </ol> | C-3 or one year after completion of audit, whichever is later. |   |
|    |                       | and bills thereof   | audit, whichever is later.                                     |   |
|    |                       | 17. Physical verification   | C-3 or one year after completion of                            |   |
|    |                       |   | audit, whichever is later.                                     |   |
| 19 | Other office machines |   | 'A' or 'B' category, depending on the                          |   |
|    | including Electronic/ | 11.Rules for procurement  | content value, in the case of                                  |   |
|    | Computer items        | /disposal (general aspects)                                       | departments issuing the  |   |
|    |                       |   | orders/instructions etc. and the                               |   |
|    |                       |   | departments concerned; other                                   |   |
|    |                       |   | departments need keep only the                                 |   |
|    |                       |   | standing orders, weeding out the                               |   |
|    |                       |   | superseded ones, as and when they                              |   |
|    |                       |   | become obsolete.   |   |
|    |                       | 12.Condemnation and disposal                                      | C-3 or one year after completion of                            | Subject to suitable entries being made in the |
|    |                       |   | audit, whichever is later.                                     | appropriate stock/ assets, register           |
|    |                       | 13.DGS & D rate contracts   | 'A' or 'B' category, depending on the                          |   |
|    |                       |   | content value, in the case of                                  |   |
|    |                       |   | departments issuing the  |   |
|    |                       |   | orders/instructions etc. and the                               |   |
|    |                       |   | departments concerned; other                                   |   |
|    |                       |   | departments need keep only the                                 |   |
|    |                       |   | standing orders, weeding out the                               |   |
|    |                       |   | superseded ones, as and when they                              |   |
|    |                       |   | become obsolete.   |   |
| 20 | Bicycles              | 11. Rules (general aspects)                                       | 'A' or 'B' category, depending on the                          |   |
|    |                       |   | content value, in the case of                                  |   |
|    |                       |   | departments issuing the  |   |

|    |                          |                                    | andere l'instructions at a state de la                         |  |
|----|--------------------------|------------------------------------|--|--|
|    |                          |                                    | orders/instructions etc. and the                               |  |
|    |                          |                                    | departments concerned; other                                   |  |
|    |                          |                                    | departments need keep only the                                 |  |
|    |                          |                                    | standing orders, weeding out the                               |  |
|    |                          |                                    | superseded ones, as and when they                              |  |
|    |                          | 10. Opendamentian and diseased     | become obsolete.   | Outrinet to puttoble entries being mode in the   |
|    |                          | 12. Condemnation and disposal      | C-3 or one year after completion of audit, whichever is later. | appropriate stock register.                      |
|    |                          | 13. Purchase                       | C-3 or one year after completion of                            | Subject to suitable entries being made in (i)    |
|    |                          |                                    | audit, whichever is later.                                     | appropriate stock register and (ii) register for |
|    |                          |                                    |  | watching progress of expenditure on              |
|    |                          |                                    |  | maintenance and repairs of each vehicle.         |
|    |                          | 14. Repairs and maintenance        | C-3 or one year after completion of                            |  |
|    |                          |                                    | audit, whichever is later.                                     |  |
|    |                          | 15. Physical verification          | C-3 or one year after completion of                            |  |
|    |                          |                                    | audit, whichever is later.                                     |  |
| 21 | Office equipment         | 11.Rules (general aspects)         | 'A' or 'B' category, depending on the                          |  |
|    | including electrical and |                                    | content value, in the case of                                  |  |
|    | mechanical appliances    |                                    | departments issuing the  |  |
|    | and                      |                                    | orders/instructions etc. and the                               |  |
|    | other miscellaneous      |                                    | departments concerned; other                                   |  |
|    | stores                   |                                    | departments need keep only the                                 |  |
|    |                          |                                    | standing orders, weeding out the                               |  |
|    |                          |                                    | superseded ones, as and when they                              |  |
|    |                          |                                    | become obsolete.   |  |
|    |                          | 12.Condemnation and disposal       | C-3 or one year after completion of                            | Subject to suitable entries being made in the    |
|    |                          |                                    | audit, whichever is later.                                     | appropriate stock/ assets register.              |
|    |                          | 13.Purchase                        | C-3 or one year after completion of                            | Subject to suitable entries being made in the    |
|    |                          |                                    | audit, whichever is later.                                     | appropriate stock / assets register.             |
|    |                          | 14. Repairs and maintenance        | C-3 or one year after completion of                            |  |
|    |                          |                                    | audit, whichever is later.                                     |  |
|    |                          | 15. Physical verification          | C-3 or one year after completion of                            |  |
|    |                          |                                    | audit, whichever is later.                                     |  |
|    |                          | 16. Electric clocks and call-bells | C-3 or one year after completion of                            |  |
|    |                          | (procurement and maintenance       | audit, whichever is later.                                     |  |
| 22 | Liveries                 | 11. Rules (entitled personnel      | C-3 or one year after completion of                            |  |
|    |                          | and the scale of items of          | audit, whichever is later.                                     |  |
|    |                          | liveries)                          |  |  |
|    |                          | 12. Procurement of material        |  | Subject to proper account of the articles        |
|    |                          | 13. Stitching and tailoring        |  | received, being maintained in the appropriate    |

|     |  | <ul><li>14. Supply of shoes and chappals</li><li>15. Return, renewal, surrender and withdrawal</li></ul>  |  | registers.   |
|-----|--|---|--|--|
| 23. | Black-listing of firms<br>/contractors | 11. Circulars (general aspects)   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|     |  | 12. Individual cases  | C-3  | Subject to significant events concerning the performance of a contractor being noted in a suitable register or card index.                                       |
| 24  | Contractors for supplies               | 11. Approved list   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|     |  | <ol> <li>12. Registration</li> <li>14. Waiver/reduction of penalty</li> </ol>   | C-3<br>C-3 or one year after completion of   |  |
| 25  | Telephones                             | or condonation of irregularity<br>11. Office telephones installation<br>and shifting of - telephone bills<br>12. Residential telephones-<br>installation of telephone bills<br>13. Repairs and maintenance<br>14. Internet Services | audit, whichever is later.<br>C-3 or one year after completion of<br>audit, whichever is later.  | Subject to the condition that a register containing name of the official given residential connection and important aspects of the sanction order is maintained. |
| 26  | Staff car                              | 11. Rules (general aspects)   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other  |  |

|     |                         | Г                                |                                       | 1 |
|-----|-------------------------|----------------------------------|---------------------------------------|---|
|     |                         |                                  | departments need keep only the        |   |
|     |                         |                                  | standing orders, weeding out the      |   |
|     |                         |                                  | superseded ones, as and when they     |   |
|     |                         |                                  | become obsolete.                      |   |
|     |                         | 14. Non-official journeys        | C-3 or one year after completion of   |   |
|     |                         |                                  | audit, whichever is later.            |   |
|     |                         | 15. Purchase of P.O.L./          | C-3 or one year after completion of   |   |
|     |                         | accessories                      | audit, whichever is later.            |   |
|     |                         | 16. Servicing, repairs and       | C-3 or one year after completion of   |   |
|     |                         | replacement of parts and         | audit, whichever is later.            |   |
|     |                         | relevant correspondence          | ,                                     |   |
| 27. | Unserviceable, obsolete | 11. Rules (general aspects)      | 'A' or 'B' category, depending on the |   |
|     | and surplus articles    |                                  | content value, in the case of         |   |
|     |                         | 12. Approved list of auctioneers | departments issuing the               |   |
|     |                         |                                  | orders/instructions etc. and the      |   |
|     |                         |                                  | departments concerned; other          |   |
|     |                         |                                  | departments need keep only the        |   |
|     |                         |                                  | standing orders, weeding out the      |   |
|     |                         |                                  | superseded ones, as and when they     |   |
|     |                         |                                  | become obsolete.                      |   |
|     |                         | 12 Encompost of overlies are     |                                       |   |
|     |                         | 13. Engagement of auctioneers    | C-3 or one year after completion of   |   |
|     |                         | and notice of auction            | audit, whichever is later.            |   |
| 28  | Maintenance of records  | 11. Rules for review of records  | 'A' or 'B' category, depending on the |   |
|     |                         | (general aspects)                | content value, in the case of         |   |
|     |                         |                                  | departments issuing the               |   |
|     |                         |                                  | orders/instructions etc. and the      |   |
|     |                         |                                  | departments concerned; other          |   |
|     |                         |                                  | departments need keep only the        |   |
|     |                         |                                  | standing orders, weeding out the      |   |
|     |                         |                                  | superseded ones, as and when they     |   |
|     |                         |                                  | become obsolete.                      |   |
| 29  | Printing and binding    | 11. Rules for printing and       | 'A' or 'B' category, depending on the |   |
|     | <b>.</b>                | binding (general aspects)        | content value, in the case of         |   |
|     |                         | 5.6                              | departments issuing the               |   |
|     |                         |                                  | orders/instructions etc. and the      |   |
|     |                         |                                  | departments concerned; other          |   |
|     |                         |                                  | departments need keep only the        |   |
|     |                         |                                  | standing orders, weeding out the      |   |
|     |                         |                                  | superseded ones, as and when they     |   |
|     |                         |                                  | become obsolete.                      |   |
|     |                         |                                  |                                       |   |

|    |                             | 12.Correspondence relating to<br>printing and binding  | C-3  |  | Subject to receipt of intimation about debit having been raised.     |
|----|-----------------------------|--|--|--|--|
| 30 | Library                     | 11. Ordering and receipt of<br>books (other<br>than government publications)12. Ordering and receipt of<br>periodicals13. Purchase of government | C-3 or one year after completion of audit, whichever is later. |  |  |
|    |                             | publications<br>14. Lending, transfer  | (a)Lending:  | C-1  | Subject to suitable entries being made in the                        |
|    |                             | (requisition,<br>reminder etc.)  | (b) Transfer:  | C-3 or one year<br>after completion of<br>audit whichever is<br>later. | accession register.  |
|    |                             | 16. Binding of books   | C-3  |  |  |
|    |                             | 17. Selection Committee for books  | (a)Constitution<br>of new<br>selection<br>committee:           | One year after<br>completion of audit                                  | Subject to receipt of intimation regarding debit having been raised. |
|    |                             |  | (b)Agenda<br>meetings<br>Proceedings<br>etc                    | C-1  |  |
|    |                             |  | (c)Purchase of books   | One year after completion of audit                                     |  |
|    |                             | 18. Write off of books   | Permanent  |  |  |
|    |                             | 19. Auction Newspapers/<br>journals  | One year after th  | e completion of audit  |  |
|    |                             | 20. Membership of Library association  | One year after th  | e completion of audit  |  |
| 31 | Care-taking<br>arrangements | 11.Allocation of work among sweepers, farashes and   | One year after ceases to be in for                             | the allocation order orce  |  |

|     |          | chowkidars   |  |  |
|-----|----------|--|--|--|
|     |          | 12.White-washing-arrangements thereof  | C-3 or one year after completion of audit, whichever is later.   |  |
| 32. | Security | 11.Rules (general aspects)   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|     |          | <ul><li>12. Confidential and secret box</li><li>13. Duplicate keys :</li></ul> | C-3 or one year after completion of audit, whichever is later.   | Subject to suitable entries regarding distribution and custody of boxes and keys being made in the appropriate register. |
|     |          | maintenance thereof  |  |  |
|     |          | 15. Issue of identity cards - correspondence thereof                           | C-1  | Subject to suitable entries being made in the register of identity cards.  |
|     |          | 16. Loss of identity cards   |  |  |
|     |          | 17. Temporary passes<br>arrangements   |  |  |

### **RECORDS OTHER THAN FILES**

| S.No. | Description of Records                   | Retention period   | Remarks                                |
|-------|--|--|--|
| 1     | 2  | 3  | 4                                      |
| 1.    | Staff car log book                       | C-3 or one year after completion of audit, whichever is later  |  |
| 2.    | Stock register                           | C-3 or one year after completion<br>of audit, and settlement of audit<br>objections, whichever is later  |  |
| 3.    | Railway receipt register                 | C-3 or one year after completion of audit, whichever is later  |  |
| 4.    | Shorthand notebook distribution register | C-1  |  |
| 5.    | Library accession register               | 'A' or 'B' category, depending on<br>the content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when<br>they become obsolete. | register will be retained for 3 years. |
| 6.    | Departmental security seals register     | 'A' or 'B' category, depending on<br>the content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when<br>they become obsolete. | register will be retained for 3 years. |
| 7.    | Register of identity cards               | 'A' or 'B' category, depending on<br>the content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when<br>they become obsolete. |  |

| 8.<br>9. | Register of CGHS identity cards<br>Register of spare copies of classified<br>documents | the content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when<br>they become obsolete.<br>'A' or 'B' category, depending on | If, for any reason, a register has to be rewritten, the old register will be retained for 3 years.<br>If, for any reason, a register has to be rewritten, the old register will be retained for 3 years. |
|----------|--|--|--|
|          |  | they become obsolete.  |  |
| 10.      | Telephone bill (including trunk call) register   | C-3 or one year after completion of audit, whichever is later  |  |
| 11.      | Index Cards  | Permanent  |  |
| 12.      | Library Bulletin   | C-1  |  |

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| 11 | Progressive use of Hindi in government offices | 48    |
|----|--|-------|
| 12 | Hindi Teaching Scheme                          | 48-49 |
| 13 | Translation into Hindi                         | 49    |

| S.No. | Descrip   | otion of record   |  |   |
|-------|---|---|--|---|
|       | Main head   | Sub-head  | Retention period   | Remarks   |
| 11.   | Progressive use of Hindi<br>in government offices | 11. General aspects and Hindi<br>Committees.  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |   |
|       |   | <ul><li>11. (a) Hindi workshop</li><li>(b) Hindi week</li><li>12. Circulation of orders</li></ul> | C-3<br>To be destroyed at the end of the year.   |   |
|       |   | 13. Registration of telegraphic address in Hindi  | C-1  |   |
|       |   | 14. Periodical reports regarding<br>use of Hindi for official purposes                            | C-3  |   |
|       |   | 15. Constitution of Hindi<br>Committee  | C-5  |   |
|       |   | 16. Meeting and Follow up action of Hindi Committee   | C-3  |   |
| 12    | Hindi Teaching Scheme                             | 11. General aspects and Hindi<br>Committees   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |   |
|       |   | 12. Training programme  | C-1  |   |
|       |   | 13. Examinations  | <ul> <li>(a) Applications: C-1</li> <li>(b) Results: C-5 for departments conducting the examination, C-1 for other departments.</li> </ul>   | Subject to the condition that a register containing names of officials and their results is maintained permanently. |
|       |   | 14. Grant of advance  | C-3 or one year after completion of  | Subject to a suitable entry being made in the   |

|     |                        | increments                       | audit, whichever is later.               | appropriate service record and an               |
|-----|------------------------|----------------------------------|--|---|
|     |                        |                                  |  | authenticated copy being kept in personal file. |
|     |                        | 15. Grant of awards              | (a) For departments making the           | Subject to a suitable entry being made in the   |
|     |                        |                                  | award: C-3 or one year after             | appropriate service record and an               |
|     |                        |                                  | completion of audit, whichever is later. | authenticated copy being kept in personal file. |
|     |                        |                                  |  |   |
|     |                        |                                  | (b) For departments in which the         |   |
|     |                        |                                  | recipient is employed: C-1               |   |
|     |                        | 16. Conduct of Hindi competition | C-5                                      |   |
| 13. | Translation into Hindi | 11.Books, reports, periodicals   | C-3 after the publication is             |   |
|     |                        | etc.                             | printed/cyclostyled.                     |   |

#### F-PUBLIC RELATIONS

| S.No. |   | Page/Pages |
|-------|---|------------|
| 11    | Reception   | 51         |
| 12    | Complaints and enquiries                          | 51         |
| 13    | Representative committees                         | 51         |
| 14    | Press   | 51-52      |
| 15    | Entertainments                                    | 52         |
| 16    | Flags   | 52         |
| 17    | Gifts   | 52         |
| 18    | Hospitality grant                                 | 52-53      |
| 19    | Meetings, conferences, celebrations and functions | 53         |
| 20    | Delegations                                       | 53         |

## F-PUBLIC RELATIONS

| S.No. | Descrip                     | otion of record  |  |         |
|-------|-----------------------------|--|--|---------|
|       | Main head                   | Sub-head   | Retention period   | Remarks |
| 11.   | Reception                   | 11. Enquiry/ Reception Office  | Appropriate periods to be determined<br>by the departments concerned<br>according to the importance of subject<br>matter.  |         |
|       |                             | 12. Regulations regarding entry<br>into office<br>premises                                 | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |         |
|       |                             | 13. Arrangements for escorting visitors  | <ul> <li>(a) Case involving expenditure : C-3 or<br/>one year</li> <li>after completion of audit, whichever is<br/>later.</li> <li>(b) Other cases: C-1</li> </ul>   |         |
| 12.   | Complaints and enquiries    | 11.By government<br>representatives<br>12.By traders                                       | Appropriate periods to be prescribed by the departments concerned.   |         |
| 13.   | Representative<br>Committee | <ul><li>11. Constitution of</li><li>12.Processing of cases against the decisions</li></ul> | Appropriate retention period to be determined by administrative departments concerned.   |         |
| 14.   | Press                       | 11.Propaganda and publicity<br>through-rules thereof                                       | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |         |
|       |                             | 12.Arrangements for Press conference   | (a) Cases involving expenditure : C-3 or one year after completion of audit,   |         |

|     |                   |                                 | whichever is later.  |  |
|-----|-------------------|---------------------------------|--|--|
|     |                   |                                 | (b) Other cases: C-1   |  |
| 15. | Entertainments    | 11.Rules (general aspects)      | 'A' or 'B' category, depending on the                          |  |
|     |                   |                                 | content value, in the case of                                  |  |
|     |                   |                                 | departments issuing the  |  |
|     |                   |                                 | orders/instructions etc. and the                               |  |
|     |                   |                                 | departments concerned; other                                   |  |
|     |                   |                                 | departments need keep only the                                 |  |
|     |                   |                                 | standing orders, weeding out the                               |  |
|     |                   |                                 | superseded ones, as and when they                              |  |
|     |                   |                                 | become obsolete.   |  |
|     |                   | 12.Arrangements                 | (a) Within the C-1   |  |
|     |                   |                                 | scale  |  |
|     |                   |                                 | prescribed by  |  |
|     |                   |                                 | the Ministry of  |  |
|     |                   |                                 | Finance :  |  |
|     |                   |                                 |  |  |
|     |                   |                                 | (b) In excess of C-3 or one year                               |  |
|     |                   |                                 | that scale: after completion of                                |  |
|     |                   |                                 | audit, whichever is  |  |
| 16. | <u> </u>          | 11.Purchase                     | later.   |  |
| 16. | Flags             | T1.Purchase                     | C-3 or one year after completion of audit, whichever is later. |  |
| 17. | Gifts             | 11 Dulas (general conceta)      |  |  |
| 17. | Gilts             | 11.Rules (general aspects)      | 'A' or 'B' category, depending on the                          |  |
|     |                   |                                 | content value, in the case of departments issuing the          |  |
|     |                   |                                 | departments issuing the orders/instructions etc. and the       |  |
|     |                   |                                 | departments concerned; other                                   |  |
|     |                   |                                 | departments need keep only the                                 |  |
|     |                   |                                 | standing orders, weeding out the                               |  |
|     |                   |                                 | superseded ones, as and when they                              |  |
|     |                   |                                 | become obsolete.   |  |
|     |                   | 12.Purchase of – for visiting   | C-3 or one year after completion of                            |  |
|     |                   | delegation                      | audit, whichever is later.                                     |  |
|     |                   | 13.Purchase of – for delegation |  |  |
|     |                   | going abroad                    |  |  |
|     |                   | 14.Acceptance/transfer of gifts | C-3  |  |
|     |                   | received by officials of the    | 0-3  |  |
|     |                   | ministry/ department            |  |  |
| 18  | Hospitality grant | 11.Rules (general aspects)      | 'A' or 'B' category, depending on the                          |  |
| 10  |                   | Trivules (general aspects)      |  |  |

| 19 | Meetings, conferences celebrations and functions | <ul> <li>12.Application for funds from<br/>hospitality grant for delegation</li> <li>11. Reservation of<br/>accommodation</li> <li>12. Seating, acoustical<br/>arrangements</li> <li>13. Reception arrangements</li> <li>14. Reporting and translation<br/>arrangements</li> <li>15. Transport arrangements</li> </ul> | departments<br>orders/instructions<br>departments<br>departments<br>standing orders,<br>superseded ones<br>become obsolete. | concerned; other<br>ed keep only the<br>weeding out the<br>as and when they<br>after completion of |  |
|----|--|--|---|--|--|
| 20 | Delegations                                      | 11. Tour programme12. Arrangements for receptionand seeing off13. Arrangements for hotelAccommodation14. Arrangements for visit tohistorical places15. Arrangements for signingceremony of agreement   | C-1<br>(a) Involving<br>government<br>expenditure<br>(b) Not involving<br>such<br>expenditure                               | C-3 or one year<br>after completion of<br>audit, whichever is<br>later.<br>C-1                     |  |

| S.No. |  | Page/Pages |
|-------|--|------------|
| 11    | Creation of posts                              | 55         |
| 12    | Pay  | 55         |
| 13    | Special pay                                    | 55-56      |
| 14    | Allowances                                     | 56         |
| 15    | Increments                                     | 56-57      |
| 16    | Deputations and delegations                    | 57         |
| 17    | Delegation of powers                           | 57-58      |
| 18    | Honorarium                                     | 58         |
| 19    | Pension/ gratuity                              | 58-59      |
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| 21    | Expenditure statements                         | 59         |
| 22    | Reconciliation                                 | 59-60      |
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| 25    | Accounts and audit                             | 60         |
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## G-FINANCE, BUDGET, CASH AND ACCOUNTS

| S.No. | Descrip           | otion of record  |  |   |
|-------|-------------------|--|--|---|
|       | Main head         | Sub-head   | Retention period   | Remarks   |
| 11.   | Creation of posts | 11.Continuance of posts  | C-3  | Subject to particulars of sanctions being<br>noted in Establishment/ sanction Register.<br>Refer GFR appendix 13, Annex-1   |
|       |                   | 12. Creation of posts  | C-10   | Subject to particulars of sanctions being noted in Establishment/ Sanction Register. Refer GFR appendix 13, Annex-1   |
|       |                   | 13. Revision of scales of pay  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. | Subject to particulars being noted in<br>Establishment/ Sanction Register.<br>Refer GFR appendix 13, Annex-1  |
|       |                   | 14. Upgrading of posts   | C-10   | Subject to particulars of Sanctions being<br>noted in Establishment/ Sanction Register.<br>Refer GFR appendix 13, Annex-1   |
|       |                   | 15. Conversion of temporary posts into permanent ones.                                 | C-10   | Subject to particulars of Sanctions being<br>noted in Establishment/ Sanction Register.<br>Refer GFR appendix 13, Annex-1   |
| 12    | Pay               | 11. Rules (general aspects)  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |   |
|       |                   | 12. Group A<br>13. Group B<br>14. Group B (non-gazetted)<br>15. Group C<br>16. Group D | C-3 or one year after completion of audit, whichever is later.   | Subject to suitable entries being made in the<br>appropriate service record and Pay Bill<br>Register and an authenticated copy of the<br>order, where issued, being placed in the<br>personal file. |
| 13    | Special pay       | 11. Rules (general aspects)  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the  |   |

|    |            | 12. Group A<br>13. Group B<br>14. Group B (non-gazetted)<br>15. Group C<br>16. Group D  | orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.<br>C-3 or one year after completion of<br>audit, whichever is later.  | Subject to suitable entries being made in the appropriate service record and Pay Bill Register and an authenticated copy of the order where issued being placed in the personal file. |
|----|------------|---|---|---|
| 14 | Allowances | 11. Rules (general aspects)         11. Rules (general aspects)         11. Rules (general aspects)         12. Children's Education         Allowance         13. City Compensatory         Allowance         14. Daily Allowance         15. Dearness Allowance         16. Deputation Allowance         17. House Rent Allowance         18. Overtime Allowance         19. Travelling Allowance         20. Washing Allowance | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.<br>C-3 or one year after completion of<br>audit, whichever is later. |   |
| 15 | Increments | 11. Rules (general aspects)   | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete.  |   |

|    |                             |  | <b>A A A A A A A A A A</b>   |   |
|----|-----------------------------|--|--|---|
|    |                             | 12. Advance increments   | C-3 or one year after completion of audit, whichever is later.   | Subject to suitable entries being made in the appropriate service records and an authenticated copy of the order being placed in the personal file.   |
|    |                             | 14. Withholding of increments  | C-10 or C-3 after the final disposal of<br>appeal or final judgment under the<br>normal course of law, whichever is<br>later.  | Subject to suitable entry being made in the appropriate service record and an authenticated copy of the order being placed in personal file.  |
| 16 | Deputations and delegations | <ul><li>11. Rules regarding deputation<br/>on foreign service in India</li><li>12. Rules regarding deputation<br/>abroad</li></ul> | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |   |
|    |                             | 13. Deputation on foreign<br>service   | C-3  | Subject to suitable entries being made in the<br>appropriate service record and an<br>authenticated copy of the order being placed in<br>the personal file.<br>The file should be closed only after making<br>sure that final recovery has in fact been<br>affected from the party concerned. In the case<br>of gazetted officers, a certificate to that effect<br>should be obtained from the Accounts Officer<br>concerned. |
|    |                             | 14. Deputations abroad   | C-3, plus the period of deputation   | Subject to suitable entries being made in the appropriate service record and an authenticated copy of the order being placed in the personal file.  |
| 17 | Delegation of powers        | 11. Civil Service Regulations  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |   |

| · · · · · · · · · · · · · · · · · · · |                 |                                |   |  |
|---------------------------------------|-----------------|--------------------------------|---|--|
|                                       |                 | 12. Delegation of Financial    | 'A' or 'B' category, depending on the                         |  |
|                                       |                 | Powers Rules, 1958             | content value, in the case of                                 |  |
|                                       |                 | 13. FR & SR                    | departments issuing the                                       |  |
|                                       |                 | 14. GFR                        | orders/instructions etc. and the                              |  |
|                                       |                 | 15. Central Treasury Rules     | departments concerned; other                                  |  |
|                                       |                 | 16. Central Public Works       | departments need keep only the                                |  |
|                                       |                 | Accounts Code                  | standing orders, weeding out the                              |  |
|                                       |                 |                                | superseded ones, as and when they                             |  |
|                                       |                 | AZ Destaution of allinear as   | become obsolete.  |  |
|                                       |                 | 17. Declaration of officers as | 'A' or 'B' category, depending on the                         |  |
|                                       |                 | Head of Department             | content value, in the case of                                 |  |
|                                       |                 |                                | departments issuing the                                       |  |
|                                       |                 |                                | orders/instructions etc. and the departments concerned: other |  |
|                                       |                 |                                | departments concerned; other departments need keep only the   |  |
|                                       |                 |                                | standing orders, weeding out the                              |  |
|                                       |                 |                                | superseded ones, as and when they                             |  |
|                                       |                 |                                | become obsolete.  |  |
|                                       |                 | 19.Declaration of officers as  | C-3 or till they are superseded                               |  |
|                                       |                 | Controlling and Drawing &      | (whichever is later) for departments                          |  |
|                                       |                 | Disbursing officers            | issuing the orders and departments                            |  |
|                                       |                 | Blobaroling officere           | concerned; other departments need                             |  |
|                                       |                 |                                | keep only the standing orders,                                |  |
|                                       |                 |                                | weeding out the superseded ones as                            |  |
|                                       |                 |                                | and when they become obsolete.                                |  |
| 18 Hon                                | norarium        | 11. Rules (general aspects)    | 'A' or 'B' category, depending on the                         |  |
|                                       |                 |                                | content value, in the case of                                 |  |
|                                       |                 |                                | departments issuing the                                       |  |
|                                       |                 |                                | orders/instructions etc. and the                              |  |
|                                       |                 |                                | departments concerned; other                                  |  |
|                                       |                 |                                | departments need keep only the                                |  |
|                                       |                 |                                | standing orders, weeding out the                              |  |
|                                       |                 |                                | superseded ones, as and when they                             |  |
|                                       |                 | 10.0                           | become obsolete.  |  |
|                                       |                 | 12. Group A                    | C-3 or one year after completion of                           |  |
|                                       |                 | 13. Group B                    | audit, whichever is later.                                    |  |
|                                       |                 | 14. Group B (non-gazetted)     |   |  |
|                                       |                 | 15. Group C                    |   |  |
|                                       |                 | 16. Group D                    |   |  |
|                                       | nsion/ Gratuity | 11. Rules (general aspects)    | 'A' or 'B' category, depending on the                         |  |

|     |                                      |   | standing order<br>superseded one<br>become obsolet | issuing the<br>ns etc. and the<br>concerned; other<br>eed keep only the<br>s, weeding out the<br>es, as and when they<br>e. |  |
|-----|--------------------------------------|---|--|---|--|
|     |                                      | 12. Group A                                     | (a) Pre-verification cases                         | on of pension<br>C-3  | Refer GFR appendix 13, Annex-1   |
|     |                                      | 13. Group B                                     | (b) Invalid<br>pension                             | Till one year after the last beneficiary of the   |  |
|     |                                      | 14. Group B (non-gazetted)                      | (c) Family<br>pension                              | family pension<br>ceases to be entitled   |  |
|     |                                      | 15. Group C                                     | (d) Other<br>pension                               | to receive it or 5<br>years whichever is<br>later.  |  |
|     |                                      | 16. Group D                                     | (e) Gratuity                                       | C-5   | Refer GFR appendix 13, Annex-1   |
|     |                                      |   | (f) Commutation                                    | of pension C-15   | Refer GFR appendix 13, Annex-1   |
| 20  | Budget estimates / revised estimates | 11.Demand No.<br>12.Demand No.                  | C-3  |   |  |
|     |                                      | 13.Demand No.                                   |  |   |  |
| 21  | Expenditure statements               | 11.Demand No.<br>12.Demand No.<br>13.Demand No. | (a) In respect<br>of lower<br>formations:          | To be weeded out at<br>the end of the<br>financial year   | The retention period here relates to the budget/<br>revised estimates as complied by the Budget/<br>Accounts Section for the department as a |
|     |                                      |   | (b) In respect<br>of department<br>itself:         | To be weeded out<br>after the<br>Appropriation<br>Accounts for the<br>year have been<br>finalized.                          | whole.<br>Refer GFR appendix 13, Annex-1   |
| 22. | Reconciliation                       | 11.Demand No.<br>12.Demand No.<br>13.Demand No. | (a) In respect<br>of lower<br>formations:          | To be weeded out at<br>the end of the<br>financial year   | Refer GFR appendix 13, Annex-1   |

|     |                      |                                    | (b) In respect<br>of department<br>itself: | To be weeded out<br>after the<br>Appropriation<br>Accounts for the<br>year have been<br>finalized. | Refer GFR appendix 13, Annex-1   |
|-----|----------------------|------------------------------------|--|--|--|
| 23  | Re-appropriation     | 11.Demand No.                      | C-3  | ·  |  |
|     |                      | 12.Demand No.                      |  |  |  |
| 0.1 |                      | 13.Demand No.                      | <u> </u>                                   |  |  |
| 24. | Supplementary grants | 11.Demand No.                      | C-3  |  |  |
|     |                      | 12.Demand No.                      |  |  |  |
| 05  |                      | 13.Demand No.                      |  |  |  |
| 25. | Accounts and audit   | 12.Audit objection and audit paras | predominantly co                           | nts reported upon or<br>oncerned: C-10   | Subject to a copy of the report being retained<br>permanently. The National Archives may be<br>consulted before any file is destroyed. |
|     |                      | 13.Estimates Committee:            | (b)For other inter                         | rested departments<br>C-3  | consulted before any file is destroyed.  |
|     |                      | 14.Local audit (annual)            | C-3  |  |  |
|     |                      | 15.Public Accounts Committee       | predominantly co                           | ents reported upon or<br>oncerned C-10<br>erested departments                                      | Subject to a copy of the report being retained<br>permanently. The National Archives may be<br>consulted before any file is destroyed. |
|     |                      |                                    |  | C-3  |  |
|     |                      | 17.Appropriation Accounts          | C-3  | 00   |  |
|     |                      | 18.Accounts classification         |  | ory, depending on the  |  |
|     |                      | opening of new heads               |  | in the case of   |  |
|     |                      |                                    | departments                                | issuing the  |  |
|     |                      |                                    | orders/instruction                         |  |  |
|     |                      |                                    | departments                                | concerned; other   |  |
|     |                      |                                    |  | eed keep only the  |  |
|     |                      |                                    |  | s, weeding out the   |  |
|     |                      |                                    | become obsolete                            | s, as and when they  |  |
| 26. | Advances             | 11. Car Advance Rules              |  | bry, depending on the  | Refer GFR appendix 13, Annex-1   |
| 20. |                      | 12. Conveyance Advance Rules       |  | in the case of   |  |
|     |                      | 13. Cycle Advance Rules            | departments                                | issuing the  |  |
|     |                      | 14. Festival Advance Rules         | orders/instructior                         | ns etc. and the  |  |
|     |                      | 15. GPF Final Withdrawal Rules     |  | concerned; other<br>eed keep only the  |  |
|     |                      | 16. GPF Advance Rules              | standing orders                            | s, weeding out the   |  |

|     |                         | <ul><li>17. House Building Advance<br/>Rules</li><li>18. Motor Cycle/ Scooter<br/>Advance Rules</li></ul> | superseded ones, as and when they become obsolete.             |  |
|-----|-------------------------|---|--|--|
|     |                         | 19. Pay Advance Rules   |  |  |
|     |                         | 20. TA Advance Rules  |  |  |
|     |                         | 21. Travel Concession Rules   |  |  |
|     |                         | 22. Other Advances Rules  |  |  |
|     |                         | 23.Grant of Car Advances  | C-1  | Subject to:  |
|     |                         | 24. Grant of Conveyance   |  | -  |
|     |                         | Allowance   |  | (i) suitable entries being made in Pay Bill Register; and                              |
|     |                         | 25. Grant of Cycle Advance  |  |  |
|     |                         |   |  | (ii) in case of motor car/ motor cycle/ scooter  |
|     |                         | 26. Grant of Festival Advance   |  | and house building advance:  |
|     |                         | 27. Grant of Final Withdrawal from GPF  |  | (a) copies of sanction being placed in personal files, and                             |
|     |                         | 28. Grant of GPF Advance  |  | (b) mortgage deeds and other agreements executed being kept separately in safe custody |
|     |                         | 29. Grant of House Building<br>Advance  |  | for the valid period.<br>Refer GFR appendix 13, Annex-1                                |
|     |                         | 30. Grant of Motor<br>Cycle/Scooter Advance   |  | · · · · · · · · · · · · · · · · · · ·  |
| -   |                         | 31. Grant of Pay Advance  |  |  |
|     |                         | 32. Grant of TA Advance   |  |  |
|     |                         | 33. Grant of LTC Advance  |  |  |
|     |                         | 34. Grant of other Advances   |  |  |
| 27. | Payments and recoveries | 11. Air passage bills   | C-3 or one year after completion of                            |  |
|     |                         | 12. Cancellation charges  | audit, whichever is later.                                     |  |
|     |                         | 13. Contingent expenditure  | C-3 or one year after completion of audit, whichever is later. | Refer GFR appendix 13, Annex-1   |
|     |                         | 14. Electric charges- recovery  | C-1  |  |
|     |                         | 15. GPF annual statements   | C-1  |  |

| 16. GPF– membership   | C-1  | Refer GFR appendix 13, Annex-1   |
|---|--|--|
| 17. Grants-in-aid-contributions   | C-3 or one year after completion of  |  |
| and donations   | audit, whoever is later.   |  |
| 18. Hospitality fund  | C-3 or one year after completion of  |  |
|   | audit, whoever is later.   |  |
| 19. House rent and other allowances                                       | C-5 after the settlement of case or one year after audit whichever is later. | Subject to suitable entries being made in Pay<br>Bill Register   |
| 20. Last Pay Certificate  |  |  |
| 22. Pay claims  |  |  |
| 23. Permanent imprest   | C-3 or till the relevant orders are  |  |
| 20. Termanent imprest   | superseded (whichever is later) in the                                       |  |
|   | case of departments issuing the  |  |
|   | orders/instructions; other departments                                       |  |
|   | need keep only the standing orders,  |  |
|   | weeding out the superseded ones as   |  |
|   | and when they become obsolete  |  |
| 24. Refunds   | C-3 or one year after completion of  |  |
|   | audit, whichever is later.   |  |
| 25. Refreshment bills   | C-3 or one year after completion of  |  |
|   | audit, whichever is later.   |  |
| 26. Rent demand statements  | C-1  |  |
| 27. Service postage stamps  | C-3 or one year after completion of  |  |
|   | audit, whichever is later.   |  |
| 28. TA/Transfer TA claims   | C-3 or one year after completion of  |  |
|   | audit, whichever is later.   |  |
| 29. Water charges- recoveries   | C-1  |  |
| 30. Reimbursement of legal  | C-3 or one year after completion of  |  |
| expenses  | audit, whichever is later.   |  |
| 31. Reimbursement of tuition  | C-3 or one year after completion of  |  |
| fees  | audit, whichever is later.   |  |
| 32. Acceptance of credits/debits  | C-3 or one year after completion of audit, whichever is later.               |  |
| 33. Adjustment of missing credits in GPF account                          | C-1  | Refer GFR appendix 13, Annex-1   |
| 35. Financing of insurance policies from GPF account                      | C-1  | Subject to an authenticated copy of the sanction being placed in the personal file. Refer GFR appendix 13, Annex-1 |
| 36. Arrear claims (including sanction for investigation, where necessary) | C-3 or one year after completion of audit, whichever is later.               | Refer GFR appendix 13, Annex-1   |

|     |   | 37. Postal life Insurance  | C-3  | Subject to suitable entry being made in Pay Bill Register and PLI Index Register   |
|-----|---|--|--|--|
|     |   | 38. Write-off of losses  | C-3 or one year after completion of audit, whichever is later.   | <u> </u>   |
|     |   | 39 Expenditure sanction  | C-3 or one year after completion of audit, whichever is later.   |  |
|     |   | 40. Surety bond executed in favour of temporary or a retiring Govt. staff.           | C-3 after the bond ceases to be enforceable.   |  |
| 28  | Administrative approval<br>and technical sanction | 11. General aspects  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|     |   | 12. Major works  | C-10 or C-3 after completion of the work; or one year after completion of audit, whichever is the latest.  |  |
|     |   | 13. Minor works  | C-5 or C-3 after completion of the work; or one year after completion of audit, whichever is the latest.   |  |
| 29. | Foreign exchange budget                           | 11. General aspects  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|     |   | <ul><li>12. Estimates/ Allocation</li><li>13. Periodical reports regarding</li></ul> | C-3  | The Department of Economic Affairs, as the department responsible for overall policy and co-ordination in the matter, may retain these |
|     |   | allocation, release and utilisation  |  | records for appropriate longer periods prescribed by it.   |
| 30  | Plan Schemes                                      | 11.Approved schemes  | (i) Permanent for record of major projects costing Rs.20 crores and  |  |

|     |   |                              | above Minor projects are normally<br>completed in shorter time frame.<br>(ii) For others : C-10 |  |
|-----|---|------------------------------|---|--|
|     |   | 12.Not approved schemes      | C-3   |  |
|     |   | 13.Review of ongoing schemes | C-3 after closure of the scheme.  |  |
| 31. | Budget Estimates for five<br>year plans |                              | C-10  |  |

## **RECORDS OTHER THAN FILES**

| SI.No. | Description   | Retention period  | Remarks                        |
|--------|---|---|--------------------------------|
| 1.     | Civil credit notes (Form S. 142) and stock register thereof   | C-3 or one year after completion of audit, whichever is later.                      |                                |
| 2.     | Register of monthly expenditure (Form GFR 9)  | To be weeded out after the Appropriation Accounts for the year have been finalised. |                                |
| 3.     | Register for watching progress of expenditure (Form GFR 11)   | To be weeded out after the Appropriation Accounts for the year have been finalised. |                                |
| 4.     | Register for watching progress of expenditure on local purchase of stationery.  | C-3 or one year after completion of audit, whichever is later.                      |                                |
| 5.     | Register for reconciliation of accounts   | To be weeded out after the Appropriation Accounts for the year have been finalised. |                                |
| 6.     | Cash Book   | C-10  | Refer GFR appendix 13, Annex-1 |
| 7.     | Cash receipts (Form TR 5), counterfoils and stock register.   | C-3 or one year after completion of audit, whichever is later.                      |                                |
| 8.     | Petty vouchers not furnished to audit   | C-3 or one year after completion of audit, whichever is later.                      |                                |
| 9.     | Appropriation Accounts  | C-3   |                                |
| 10.    | Pay Bill Register   | C-20  |                                |
| 11.    | Office copies of establishment pay bills and related schedules (in respect of period for which Pay Bill Register is not maintained. | C-20  |                                |
| 12.    | Schedules to the establishment pay bills for<br>the period for which Pay Bill Register is<br>maintained                             | C-3 or one year after completion of audit, whichever is later.                      |                                |
| 13.    | Acquittance roll  | C-3 or one year after completion of audit, whichever is later.                      |                                |
| 14.    | Postal life Insurance register  | C-3 after all the policies entered therein have matured for payment.                |                                |
| 15.    | Increment register  | C-1   |                                |
| 16.    | Increment list  | C-3 or one year after completion of audit, whichever is later.                      |                                |

### H-PARLIAMENT

| S.No. |                          | Page/Pages |
|-------|--------------------------|------------|
| 11    | Parliament matters       | 67-68      |
|       | Records other than files | 68         |

## H-PARLIAMENT

| S.No. | Descrip            | tion of record   |  |  |
|-------|--------------------|--|--|--|
|       | Main head          | Sub-head   | Retention period   | Remarks  |
| 11.   | Parliament matters | 11. General aspects  | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. |  |
|       |                    | 12. Assurances and undertakings  | C-3  |  |
|       |                    | 13. Committees   | (a) For departments reported upon or predominantly concerned: C-10   | Subject to a copy of the report being retained<br>permanently. The National Archives may be<br>consulted before any file is destroyed. |
|       |                    |  | (b) For other interested departments:<br>C-3   |  |
|       |                    | 14. Cut-motions, resolutions/<br>Calling Attention notices -Lok<br>Sabha | (a) Admitted and answered/<br>discussed: C-3   | Cases containing material of great precedence/<br>reference value/ historical importance may be<br>retained permanently.               |
|       |                    | 15. Cut-motions, resolutions   | (b) Disallowed, lapsed or withdrawn:<br>C-1  |  |
|       |                    | /Calling Attention notices- Rajya<br>Sabha                               |  |  |
|       |                    | 16. Questions-Lok Sabha  |  |  |
|       |                    | 17. Questions- Rajya Sabha<br>18. Legislation                            | 'A' or 'B' category, depending on the<br>content value, in the case of<br>departments issuing the<br>orders/instructions etc. and the<br>departments concerned; other<br>departments need keep only the<br>standing orders, weeding out the<br>superseded ones, as and when they<br>become obsolete. | The National Archives may be consulted for its up-keep.  |

| 19. Furnishing of mat   | naterial for C-3 |  |
|-------------------------|------------------|--|
| speech of President     | nt, Prime        |  |
| Minister, Minister etc. |                  |  |

### RECORDS OTHER THAN FILES

| S.No. | Description of record                                      | Retention period | Remarks   |
|-------|--|------------------|---|
| 1     | Parliamentary proceedings as maintained by Parliament Unit |                  | Subject to follow-up action being taken by<br>the sections concerned on their own files<br>to which relevant extracts may be taken. |
| 2.    | Register of Parliament questions                           | C-3              |   |

# I-Record Retention Schedule of files relating to RTI application

| S.No. | Subjects/records groups  | Proposed Retention Period                                     | Remarks   |
|-------|--|---|---|
| 1.    | RTI Cases disposed without attracting any 1 <sup>st</sup> Appeal   | C-3   |   |
| 2.    | RTI Cases attracting 1 <sup>st</sup> Appeal  | C-3   | Since they may attract 1nd Appeal so require a fair retention period. |
| 3.    | RTI Cases attracting 2 <sup>nd</sup> Appeal (without any remarkable decision)                                    | C-3 or till the compliance of CIC orders, whichever is later. |   |
| 4.    | RTI Cases attracting 2 <sup>nd</sup> Appeal (involving a remarkable decision)                                    | C-5   | Judgement/CIC ruling "B"  |
| 5.    | 1 <sup>st</sup> Appeal cases files   | C-3   | As these may attract 2 <sup>nd</sup> Appeal                           |
| 6.    | 2 <sup>nd</sup> Appeal cases files   | C-3 or till the compliance of CIC orders                      |   |
| 7.    | Files relating to the administrative aspects of RTI Act, 2005 i.e. implementation, suggestions, guidelines, etc. | C-3   |   |
| 8.    | File Register of RTI Applications i.e. records other than file.  | В-Кеер  |   |

# J- Electronic Records

There will only be two categories of e-files i.e. Category-I and Category-II

| S.No. | Subjects/records groups   | Proposed Retention Period  | Remarks |
|-------|---|--|---------|
| 1.    | Category I  |  |         |
|       | The e-files which are to be preserved permanently or which are          | For 10 years, it will be kept in the   |         |
|       | of historical importance. The e-files included under this category will | Department's server and thereafter transferred to the server of the National |         |
|       | be as follows:-   | Archives of India (NAI).   |         |
|       | (1) e-files containing evidence of rights or obligations of or          |  |         |
|       | against the government, e.g., title to property, claims for             |  |         |
|       | compensation not subject to a time limit, formal instruments such as    |  |         |
|       | awards, schemes, orders, sanctions,                                     |  |         |
|       | (2) e-files relating to major policy decisions, including those         |  |         |
|       | relating to the preparation of legislation.                             |  |         |
|       | (3) e-files regarding constitution, functions and working of            |  |         |
|       | important committees, working groups, etc.                              |  |         |
|       | (4) e-files providing lasting precedents for important procedures,      |  |         |
|       | e.g. administrative memoranda, historical reports and summaries,        |  |         |
|       | legal opinions on important matters.                                    |  |         |
|       | (5) e-files concerning rules, regulations, Departmental guides or       |  |         |
|       | instructions of general application.                                    |  |         |
|       | (6) e-files relating to salient features of organization and staffing   |  |         |
|       | of government Departments and offices.                                  |  |         |
|       | (7) e-files relating to important litigation or 'causes celebres' in    |  |         |
|       | which the administration was involved.                                  |  |         |
|       | (8) e-files relating to the origin of a Department or agency of         |  |         |
|       | government; how it was organized; how it functioned; and (if            |  |         |

| <u> </u> | defunct) how and why it was dissolved.                                 |
|----------|--|
|          | (9) Data about what the Department/agency accomplished.                |
|          | (Samples by way of illustration may be enough; but the need for        |
|          | such samples may be dispensed with where published annual              |
|          | reports are available).  |
|          | (10) e-files relating to a change of policy. This is not always easy   |
|          | to recognize, but watch should be kept for (a) summary for a           |
|          | Minister, (b) the appointment of a Departmental or inter-              |
|          | Departmental committee or working group, and (c) note for the          |
|          | Cabinet or a Cabinet Committee. Generally there should be a            |
|          | conscious effort to preserve all such papers, including those          |
|          | reflecting conflicting points of view. In the case of inter-           |
|          | Departmental committees, however, it is important that a complete      |
|          | set of papers be kept only by the Departments mainly concerned –       |
|          | usually the one providing secretariat.                                 |
|          | (11) e-files relating to the implementation of a change of policy,     |
|          | including a complete set of instructions to executing agencies etc.,   |
|          | and relevant forms.  |
|          | (12) e-files relating to a well-known public or international event or |
|          | cause celebre, or to other events which gave rise to interest or       |
|          | controversy on the national plane.                                     |
|          | (13) e-files containing direct reference to trends or developments     |
|          | in political, social, economic or other fields, particularly if they   |
|          | contain unpublished statistical or financial data covering a long      |
|          | period or a wide area.   |
|          | (14) e-files cited in or noted as consulted in connection with,        |
|          | official publications.   |

|    | (15) e-files relating to the more important aspects of scientific or  |  |  |
|----|---|--|--|
|    | technical research and development.                                   |  |  |
|    | (16) e-files containing matters of local interest of which it is      |  |  |
|    | unreasonable to expect that evidence will be available locally, or    |  |  |
|    | comprising synopsis of such information covering the whole country    |  |  |
|    | or a wide area.   |  |  |
|    | (17) e-files relating to obsolete activities or investigations, or to |  |  |
|    | abortive scheme in important fields.                                  |  |  |
|    | (18) Any other specific category of records which, according to the   |  |  |
|    | Departmental instructions issued in consultation with the National    |  |  |
|    | Archives, have to be treated as genuine source of information on      |  |  |
|    | any aspect of history-political, social, economic, etc., or are       |  |  |
|    | considered to be of biographical or antiquarian interest.             |  |  |
| 2. | Category II   |  |  |
|    | This category will include e-files of secondary importance and having | Upto 10 years akin to the retention  |  |
|    | reference value for a limited period.                                 | period of physical files/records on the                                    |  |
|    |   | Department's server. In exceptional cases, if the record is required to be |  |
|    |   | retained beyond 10 years it will be  |  |
|    |   | upgraded to Category I.  |  |

Note: Refer National Digital Presentation Programme (DPP) and Digital Preservation Policy (DPP) being formulated by the Department of Information Technology for e-files and e-records.

Part II- Records (other than those relating to establishment and house-keeping works) common to all departments

| S.No. |  | Page/Pages |
|-------|--|------------|
| 1     | Creation/abolition of offices  | 74         |
| 2     | Re-organisation and redistribution of functions  | 74         |
| 3     | Bills, acts and ordinances   | 74         |
| 4     | Rules, regulations, codes, manuals, executive procedural instructions (including amendments and interpretations) | 74-75      |
| 5     | Delegation of powers   | 75         |
| 6     | Committees/ Commissions of enquiry   | 75         |
| 7     | Other committees, study teams, working groups, seminars, etc   | 75         |
| 8     | International agreements, conventions, etc   | 75         |
| 9     | Annual reports   | 75         |
| 10    | Monthly summary for the Cabinet  | 75         |
| 11    | Monthly note for Indian Missions abroad  | 75-76      |
| 12    | Notices agenda and proceedings of inter-departmental meetings (e.g. O & M Vigilance)                             | 76         |
| 13    | Notices agenda and proceedings of intra-departmental meetings (e.g. O & M Vigilance)                             | 76         |
| 14    | Work Study/ Case-study reports   | 76         |
| 15    | Rationalisation & Simplification of forms  | 76         |
| 16    | Arbitration and litigation cases   | 76-77      |
| 17    | Notices under Section 80 of Civil procedure code   | 77         |
| 18    | Money order receipts and acknowledgements  | 77         |
| 19    | Circulars regarding holidays and closure of office   | 77         |
| 20    | Attendance register  | 77         |
| 21    | Punctuality in attendance  | 77         |
| 22    | General aspects  | 77         |

| S.No. | Descri    | otion of record  |  |   |
|-------|-----------|--|--|---|
|       | Main head | Sub-head   | Retention period   | Remarks                                 |
|       |           | 1. Creation/ abolition of offices  | Permanent (B-keep)   |   |
|       |           | 2. Reorganisation and<br>redistribution of<br>functions:<br>(a) inter-departmental   | Permanent in the case of departments<br>issuing orders and the departments<br>concerned; other departments need<br>keep only the standing orders,<br>weeding out the superseded ones as<br>and when they become obsolete.<br>C-3 or till they are superseded,<br>whichever is later. |   |
|       |           | (b) intra-departmental   |  |   |
|       |           | 3. (a) Bills, Acts and Ordinances  | Permanent (B-keep)   |   |
|       |           | (b) Comments on State<br>Legislations  | Permanent for Ministry of Home Affairs, C- 5 for other departments.  |   |
|       |           | 4. Rules, regulations, codes,<br>manuals, executive procedural<br>instructions (including<br>amendments and<br>interpretations): |  |   |
|       |           | (a) statutory  | Permanent in the case of departments<br>issuing rules, regulations etc.; other<br>departments need keep only the<br>standing rules etc., weeding out the<br>superseded ones as and when they<br>become obsolete.   |   |
|       |           | (b) non-statutory  | C-5 or till they are superseded  | Subject to standing note on the subject |

|  |   | (whichever is later) in the case of<br>departments issuing the rules,<br>regulations etc.; other departments<br>need keep only the standing rules etc.<br>weeding out the superseded ones as<br>and when they become obsolete. | maintained. The National Archives may be consulted before it is destroyed   |
|--|---|--|---|
|  | 5. Delegation of powers   | Permanent in the case of departments<br>issuing orders and the departments<br>concerned; other departments need<br>keep only the standing orders,<br>weeding out the superseded ones as<br>and when they become obsolete.      |   |
|  | <ul> <li>6. Committees/ Commissions of enquiry:</li> <li>(a) appointment (including composition, terms of reference, status of members:)</li> </ul>     | Permanent if set up under a government resolution; otherwise   | The National Archives of India may be consulted before files pertaining to any of these categories are weeded out.        |
|  | <ul> <li>status of members;)</li> <li>(b) reports (including their processing and implementation);</li> <li>(c) all other metters concerning</li> </ul> | appropriate retention periods to be<br>determined by administrative<br>departments concerned.  |   |
|  | (c) all other matters concerning<br>the commissions/ committees,<br>e.g., evidence tendered before<br>it, its proceedings.                              | C-5 after final decisions on the report.   |   |
|  | 7. Other committees, study teams, working groups, seminars etc.   | Appropriate retention periods to be determined by administrative departments concerned.  |   |
|  | 8. International agreements, convention etc.  | Permanent  | This record be categorized as "A-Keep".<br>These including MOUs may be transferred to<br>the NAI at the appropriate time. |
|  | 9. Annual reports   | C-3  | Copies of the reports (if published) to be retained in Departmental Library as "B-Keep"                                   |
|  | 10. Monthly summary for the Cabinet   | C-1  |   |
|  | 11. Monthly note for Indian   | C-1  |   |

| Mission abroad.  |  |   |
|--|--|---|
| MISSION ADIOAU.  |  |   |
| 12. Notices, agenda and<br>proceedings of inter-<br>departmental meetings (e.g. O &<br>M Vigilance): |  |   |
| (a) for departments organising such meetings;  | Appropriate period to be prescribed by departments concerned in their record retention schedule. |   |
| (b) for other departments  | C-1  | Subject to follow-up action, where necessary, being taken on appropriate subject files to which relevant extracts may be taken.   |
| 13. Notices, agenda and<br>proceedings of intra-<br>departmental meetings (e.g. O &<br>M Vigilance): |  | Subject to follow-up action, where necessary, being taken on appropriate subject files to which relevant extracts may be taken.   |
| (a) for units organising such meetings;  | C-3  |   |
| (b) for other units;   | C-1  |   |
| 14. Work study/ case study reports   | C-3  | Subject to a copy of the report being kept in the departmental library. Cases containing material of a high precedent/ reference value may be retained for appropriate longer periods, either initially or at the time of review. |
| 15. Rationalisation &<br>Simplification of forms.  | C-1 after the next review  |   |
| 16. Arbitration and litigation cases   | C-3  | Subject to:<br>(a) the file not being closed until the award/<br>judgment becomes final in all respects by<br>limitation or final decision in appeal/ revision,<br>and<br>(b) cases involving important issues or                 |

|  |  |  | containing material of a high<br>precedent/reference value being retained for<br>an appropriately longer period either initially or<br>at the time of review                                  |
|--|--|--|---|
|  | 17. Notices under Section 80 of<br>Civil Procedure Code      | C-1  | If such a notice is followed up by a civil suit, it<br>would become arbitration/litigation case and<br>would therefore, need to be retained for 3<br>years.<br>Refer GFR Appendix 13, Annex I |
|  | 18. Money order receipts and acknowledgements                | C-3 or one year after completion of<br>audit, and<br>settlement of audit objections,<br>whichever is<br>later. |   |
|  | 19. Circulars regarding holidays<br>and<br>closure of office | To be weeded out at the end of the year.   |   |
|  | 20. Attendance register                                      | C-1  |   |
|  | 21. Punctuality in attendance                                | C-1  |   |
|  | 22.General aspects   | C-3  |   |

# APPENDIX - 13 [See Rule 284] DESTRUCTION OF OFFICE RECORDS CONNECTED WITH ACCOUNTS

The destruction of records (including correspondence) connected with accounts shall be governed by the following Rules and such other subsidiary rules consistent therewith as may be prescribed by Government in this behalf with the concurrence of the Comptroller and Auditor-General.

1. The following shall on no account be destroyed:—

(i) Records connected with expenditure which is within the period of limitation fixed by law.

(ii) Records connected with expenditure on projects, schemes or works not completed, although beyond the period of limitation.

(iii) Records connected with claims to service and personal matters affecting persons in the service except as indicated in the Annexure to this

Appendix.

(iv) Orders and sanctions of a permanent character, until revised.

2. The following shall be preserved for not less than the period specified against them:-

| S.No. | Descrip                 | otion of record  |   |         |
|-------|-------------------------|--|---|---------|
|       | Main head               | Sub-head   | Retention period  | Remarks |
| 1     | Payments and recoveries | (i) Expenditure Sanctions<br>not covered by<br>Paragraph 1 above<br>(including sanctions<br>relating to grants-in-aid) | 2 years, or one year after completion of audit, whoever is later. |         |
|       |                         | (ii) Cash Books maintained<br>by the Drawing and<br>Disbursing Officers<br>under Central Treasury                      | 10 years  |         |

| r  |                    |                               |                                       | 11                                       |
|----|--------------------|-------------------------------|---------------------------------------|--|
|    |                    | Rule 77.                      |                                       |  |
|    |                    | (iii) Contingent expenditure. |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (iv) Arrear claims (including |                                       |  |
|    |                    | sanction for investigation,   |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | where necessary).             |                                       |  |
|    |                    |                               |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | Papers relating to:           |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (v) GPF Membership.           |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (vi) GPF Nomination.          |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (vii) Adjustment of missing   |                                       |  |
|    |                    | credits in GPF Accounts       |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (viii) Financing of Insurance |                                       |  |
|    |                    | Policies from GPF Accounts    |                                       |  |
|    |                    | (ix) Final withdrawal from    | 1 year                                |  |
|    |                    |                               | i you                                 |  |
|    |                    | GP Fund, e.g., for            |                                       |  |
|    |                    | house building, higher        |                                       |  |
|    |                    | technical education of        |                                       |  |
|    |                    | children, etc.                |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (x) GPF annual                | 1 year                                |  |
|    |                    | statements.                   |                                       |  |
|    |                    |                               |                                       |  |
|    |                    | (xi) T.A./Transfer T.A.       | 3 years, or one year after completion |  |
|    |                    | claims.                       | of audit, whichever is later          |  |
| 2. | Budget Estimates/  |                               | 3 years                               | The retention period here relates to the |
|    | Revised Estimates  |                               |                                       | Budget/Revised Estimates as compiled     |
|    |                    |                               |                                       | by the Budget/Accounts Section for the   |
|    |                    |                               |                                       | Department as a whole.                   |
| 2  | Service Books of : |                               | Queens often issue of final           |  |
| 3  | Service DOOKS OF . |                               | 3 years after issue of final          |  |
|    |                    |                               | pension/ gratuity payment order.      |  |

|    | (a) Officials entitled to retirement/ terminal benefits  |   |   |   |
|----|--|---|---|---|
|    | (b) Other employees  |   | 3 years after they have ceased to be in service.                  |   |
| 4  | <ul><li>4. Leave Account of:</li><li>(a) Officials entitled to retirement/terminal benefits.</li></ul> |   | 3 years after issue of final pension/ gratuity payment order.     |   |
|    | (b) Other employees.   |   | 3 years after they have ceased to be in service.                  |   |
| 5. | Service records  | <ul> <li>(a) Nomination relating to family pension and DCR gratuity.</li> <li>(b) Civil List Gradation/ Seniority List —</li> </ul>   | 1 year<br>3 years   | Subject to the nomination in original<br>or an authenticated copy thereof<br>(where original is kept with the<br>audit) as the case may be being<br>placed in Vol. II of the Service<br>Book/Personal File. |
|    |  | <ul> <li>(i) in the case of<br/>Departments preparing and<br/>bringing out the compilation.</li> <li>(ii) in the case of other<br/>Departments (i.e., those<br/>supplying information for<br/>such compilation).</li> </ul> | 1 year after issue of relevant compilation.                       | Subject to suitable entry being<br>made in the appropriate service<br>record and an authenticated copy<br>of the order being kept in Vol. II of<br>Service Book/Personal File.                              |
|    |  | (c) Alteration in the date of birth.  | 3 years.  | Service Doown ersonar hie.  |
|    |  | (d) Admission of previous<br>service not supported by<br>authenticated service<br>record, e.g., through   | 3 years; or 1 year after completion of audit, whichever is later. | - do -  |

|    |  | collateral evidence.                              |   |  |
|----|--|---|---|--|
|    |  | (e) Verification of service.                      | 5 years.  | Subject to a suitable record being kept<br>somewhere, e.g., in the Service Book or<br>History Sheet. |
| 6. | Expenditure statements   | (a) In respect of lower formations.               | To be weeded out at the end of financial year.                                      |  |
|    |  | (b) In respect of Department itself.              | To be weeded out after the Appropriation Accounts for the year have been finalized. |  |
|    |  | (c) Register of monthly expenditure (Form GFR 9). |   | To be weeded out after the Appropriation<br>Accounts for the year have been<br>finalized.            |
| 7. | 7. Surety Bonds<br>executed<br>in favour of a<br>temporary<br>or a retiring<br>Government<br>servant.  |   | 3 years after the Bond ceases to be enforceable                                     |  |
| 8. | <ul> <li>(a) Pay Bill register.</li> <li>(b) Office copies of<br/>Establishment pay<br/>bills and related<br/>schedules (in respect<br/>of period for which pay<br/>bill register is not<br/>maintained).</li> </ul> |   | 20 years<br>20 years  |  |
|    | (c) Schedules to the<br>Establishment pay<br>bills for the period for<br>which pay bill register   |   | 3 years, or one year after the completion of audit, whichever is later.             |  |

|     | is maintained.  |   |   |
|-----|---|---|---|
|     | (d) Acquaintance Roll   | 3 years, or one yea completion of audit, which  |   |
| 9.  | Muster Rolls  | Such period as<br>prescribed in this be<br>departmental regulation<br>to a minimum of three<br>years of payment exe<br>financial year of payment  | half in the<br>ons subject<br>ee financial<br>cluding the   |
| 10  | Bill Register maintained in Form TR-28-A                                    | 5 years   |   |
| 11. | Paid cheques returned by<br>the Bank to the Audit/<br>Accounts Office       | 5 years   | The counterfoils of paid cheques should<br>be preserved for the same period as<br>prescribed for preservation of paid<br>cheques, viz.,5 years. However, in cases<br>where the counterfoils are required to be<br>preserved in connection with settlement<br>of some enquiry, etc., these should not<br>be destroyed unless otherwise advised<br>by the authorities conducting the enquiry.<br>The other instructions contained in this<br>Appendix will continue to be applicable<br>in this case before the counterfoils which<br>are more than five years old are actually<br>destroyed. |
| 12. | Files, papers and<br>documents relating to<br>contracts, agreements<br>etc. | 5 years after the<br>agreement is fu<br>terminated. In cases we<br>objections have been<br>however, the relevant<br>documents shall not,<br>circumstances, be allow<br>destroyed till such the<br>objections have been<br>the satisfaction of | Ifilled or<br>where audit<br>en raised,<br>it files and<br>under any<br>owed to be<br>me as the<br>cleared to   |

|    |   | authorities or have been<br>reviewed by the Public Accounts<br>Committee.  |  |
|----|---|--|--|
| 13 | Sub-vouchers relating to<br>the Secret Service<br>Expenditure | 3 years after the expiry of the<br>financial year in which the<br>expenditure was incurred, subject<br>to completion of administrative<br>audit and issue of audit certificate<br>by the nominated Controlling<br>Officer. |  |

# **INSTRUCTIONS:**

- 1) The retention period specified in Column (4), in the case of a file, is to be reckoned from the year in which the file is closed (i.e., action thereon has been completed) and not necessarily from the year in which it is recorded.
- 2) In the case of records other than files, e.g., registers, the prescribed retention period will be counted from the year in which it has ceased to be current.
- 3) In exceptional cases, a record may be retained for a period longer than that specified in the schedule, if it has certain special features or such a course is warranted by the peculiar needs of the department. In no case, however, will a record be retained for a period shorter than that prescribed in the schedule.
- 4) If a record is required in connection with the disposal of another record, the former will not be weeded out until after all the issues raised in the latter have been finally decided, even though the retention period marked on the former may have expired in the meantime. In fact, the retention periods initially marked on such records should be consciously reviewed and, where necessary, revised suitably.

#### Notes:-

- 1) Before any pay bills/pay bill registers are destroyed, the service of the Government servants concerned should be verified in accordance with Rule 81.
- 2) The periods of preservation of account records in Public Works Offices are prescribed separately by Government.
- 3) Where a minimum period after which any record may be destroyed has been prescribed, the Head of a Department or any other authority empowered by him to do so, may order in writing the destruction of such record in their own and subordinate offices on the expiry of that period counting from the last day of the latest financial year covered by the record.
- 4) Heads of Departments shall be competent to sanction the destruction of such other records in their own and subordinate offices as may be considered useless, but a list of such records as properly appertain to the accounts audited by the Indian Audit and Accounts

Departments shall be forwarded to the Audit Officer and or the Accounts Officers, as the case may be, for his concurrence in their destruction before the destruction is ordered by the Head of Department. Full details shall be maintained permanently, in each office, of all records destroyed from time to time.

5)

| S.No. | Descrip   | otion of record   |  |   |
|-------|---|---|--|---|
|       | Main head   | Sub-head  | Retention period   | Remarks   |
| 1     | Creation and<br>Classification of posts                                     | (i) Continuance/abolition/<br>revival of post.                | 1 year.  | Subject to particulars of sanctions<br>being noted in Establishment/<br>Sanction Register.        |
|       |   | (ii) Conversion of<br>temporary posts into<br>permanent ones. | 10 years.  | - do -  |
|       |   | (iii) Creation of posts.                                      | 10 years   | - do -  |
|       |   | (iv) Revision of scales of pay.                               | Permanent in the case of<br>Departments<br>issuing orders and Departments<br>concerned; other Departments<br>need<br>keep only the standing orders,<br>weeding out superseded ones as<br>and<br>when they become obsolete. | - do -  |
|       |   | (v) Upgrading of posts.                                       | 10 years.  | - do -  |
| 2.    | Review for<br>determining suitability<br>of employees for<br>continuance in | Establishment/Sanction<br>Register.                           | Permanent  | Where, for any reason the register is re-<br>written, the old volume will be kept for 3<br>years. |

|    | service.  |   |   |  |
|----|---|---|---|--|
| 3, | Arbitration and litigation cases.                       |   | 3 years   | Subject to:<br>(a) the file not being closed until the<br>award/judgement becomes final in all<br>respects by limitation or final decision in<br>appeal/ revision; and<br>(b) cases involving important issues or<br>containing material of a high<br>precedent/reference value being retained<br>for an appropriately longer period either<br>initially or at the time of review. |
| 4. | Notices under Section<br>80 of Civil Procedure<br>Code. |   | 1 year  | If such a notice is followed up by a civil<br>suit, it would be come arbitration/litigation<br>case and would, therefore, need to be<br>retained for 3 years.  |
| 5. | Recruitment   | Condonation of break in service.  | 5 years   | Subject to a suitable entry being made in<br>the appropriate service record and an<br>authenticated copy of the order being<br>kept in Vol. II of Service Book/Personal<br>File.   |
| 6. | Advance   | <ul> <li>(i) Car Advance Rules</li> <li>(ii) Conveyance Advance</li> <li>Rules</li> <li>(iii) Cycle Advance Rules</li> <li>(iv) Festival Advance Rules</li> <li>(v) GPF Advance Rules</li> <li>(vi) House Building</li> <li>Advance Rules</li> <li>(vii) Motor Cycle/Scooter</li> <li>Advance Rules</li> <li>(viii) Pay Advance Rules</li> <li>(ix) T.A. Advance Rules</li> </ul> | Permanent in the case of<br>Departments<br>issuing the rules, orders and<br>instructions; other Departments<br>need<br>keep only the standing rules, etc.,<br>weeding out the superseded<br>ones as<br>and when they become obsolete. |  |
|    |   | (x) Travel Concession   |   | Subject to:  |

|    |                              | Rules  |   | (i) suitable entries being made in pay bill |
|----|------------------------------|--|---|---|
|    |                              | (xi) Other Advance Rules                         |   | register; and                               |
|    |                              | (xii) Grant of car Advance                       |   | (ii) in case of motor car/motor             |
|    |                              | (xiii) Grant of conveyance                       |   | cycle/scooter and house building            |
|    |                              | allowance  |   | advances.                                   |
|    |                              | (xiv) Grant of cycle advance                     |   | (a) copies of sanction being placed on      |
|    |                              | (xv) Grant of festival                           |   | personal files; and                         |
|    |                              | advance  |   | (b) mortagage deeds and other               |
|    |                              | (xvi) Grant of GPF advance                       | 1 year  | agreements executed being kept              |
|    |                              | (xvii) Grant of house                            |   | separately in safe custody for the period   |
|    |                              | building   |   | they are valid.                             |
|    |                              | advance  |   |   |
|    |                              | (xviii) Grant of motor cycle/<br>scooter advance |   |   |
|    |                              | (xix) Grant of pay advance                       |   |   |
|    |                              | (xx) Grant of T.A. advance                       |   |   |
|    |                              | (xxi) Grant of LTC advance                       |   |   |
|    |                              | xxii) Grant of other                             |   |   |
|    |                              | advances   |   |   |
| 7. | Surety Bonds                 |  | 3 years after the Bond ceases to be enforceable |   |
|    | executed in favour of        |  | eniorceable                                     |   |
|    | a temporary or a             |  |   |   |
|    | retiring Government servant. |  |   |   |
| 8. | Pension/ retirement          | (i) Rules and Orders                             |   |   |
| 5. |                              | (general aspects)                                |   |   |
|    |                              | (9   |   |   |
|    |                              | (ii) In respect of Groups 'A'                    |   |   |
|    |                              | 'B', 'C', 'D' Government                         |   |   |
|    |                              | servants.  |   |   |
|    |                              | (a) Pre-verification of                          | 3 years   |   |
|    |                              | pension cases.                                   |   |   |
|    |                              | (b) Invalid pension                              | Till one year after the last                    |   |
|    |                              | (c) Family pension                               | beneficiary of the family pension               |   |

|  | (d) Other pensions            | ceases to be entitled to receive or 5 years whichever is later. |  |
|--|-------------------------------|---|--|
|  | (e) Gratuity                  | 5 years   |  |
|  | (f) Commutation of<br>pension | 15 years  |  |

**Note:**— The principle to be adopted in respect of files having financial implications and hence liable to be called by audit for inspection is that such files should be retained for a period of five years after they have been recorded. If, at any time during the period of five years, an audit objection having reference to the transaction dealt with in that file arises, is received, the file will not be destroyed until after the audit objection has been settled to the satisfaction of the audit. Also, if local audit does not take place within the period of five years, the Head of the Office should ascertain from the audit authorities whether they have any objection to the files relating to the earlier years, due for weeding out by the application of the five year formula, being destroyed or retained for a further period for scrutiny by the audit party and, if so, for what period.

While records may be reviewed and weeded out at periodical intervals in the light of the retention periods prescribed to avoid their buildup, the attempt should be to make a continuous and conscious effort throughout the year to weed out unnecessary records. In other words, the working rules should be "weed as you go".

# **INSTRUCTIONS:**

- 1. The retention period specified in Column (4), in the case of a file, is to be reckoned from the year in which the file is closed (i.e., action thereon has been completed) and not necessarily from the year in which it is recorded.
- 2. In the case of records other than files, e.g., registers, the prescribed retention period will be counted from the year in which it has ceased to be current.
- 3. In exceptional cases, a record may be retained for a period longer than that specified in the schedule, if it has certain special features or such a course is warranted by the peculiar needs of the department. In no case, however, will a record be retained for a period shorter than that prescribed in the schedule.
- 4. If a record is required in connection with the disposal of another record, the former will not be weeded out until after all the issues raised in the latter have been finally decided, even though the retention period marked on the former may have expired in the meantime. In fact, the retention periods initially marked on such records should be consciously "reviewed and, where necessary, revised suitably".

#### Annexure-II

# APPENDIX 28 Retention schedule for records prescribed in the Manual of Office Procedure [Vide para 111 (1) (c)]

| 1.     | Dak register              | 14 (6)    | 1   |
|--------|---------------------------|-----------|---|
| 2.     | Invoice                   | 15 (1)    | 1   |
| 3.     | Section dairy             | 17 (1)    | 3   |
| 4.     | Movement slip of receipts | 20 (3)    | To be destroyed after the relevant receipts<br>have been received in the section<br>concerned |
| 5.     | Assistant's diary         | 23 (2)    | 1   |
| 6.     | Standing guard files      | 35 (1)(a) | Permanent. The earlier version of these records will normally be weeded out as                |
| 7.     | Standing note             | 35 (1)(b) | soon as the revised version becomes available.  |
| 8.     | Distribution chart        | 81 (1)    | 1   |
| 9.     | Typist's diary            | 81 (2)    | 1   |
| 10.    | Issue diary               | 82 (1)    | 1   |
| 11.    | Despatch register         | 86 (2)    | 5   |
| 11(a). | Section Despatch Register | 91 (1)(d) | 5   |
|        |                           |           |   |

| 12. | Postal registration books   | 86 (4)                | 5   |
|-----|---|-----------------------|---|
| 13. | Receipts of telegrams   | 86 (6)                | 1   |
| 14. | A Register of daily abstract of stamps used                         | 86 (7)                | 5   |
| 15. | Messenger book  | 87 (1)                | 1   |
| 16. | Stamps account register   | 90 (1)                | 5   |
| 17. | Weekly statement of cases disposed of without reference to Minister | 91 (2) (b)(i)         | 1   |
| 18. | File register   | 97                    | Permanent   |
| 19  | File movement Register  | 100 (1)               | 1   |
| 20  | Register for watching the progress of recording                     | 104 (2)(b) and<br>(3) | 3   |
| 21  | Index slips   | 107                   | 5 years or till printed departmental index becomes available whichever is latter. |
| 22  | Consolidated departmental index                                     | 107                   | Permanent   |
| 23  | Precedent book  | 110                   | Permanent   |
| 24  | List of files transferred to (a) Departmental record room           | 112 (3)<br>112 (5)    | 25<br>Permanent   |
| 25  | (b) National Archives   |                       | 1   |
| 25  | Record review register  | 112 (4)               | 1   |
| 26  | List of files received for review                                   | 113 (5)               | 1   |
| 27  | Register of spare copies of publications, circulars, orders etc.    | 113 (9)               | 1   |

| 28 | Record requisition slip  | 115        | To be destroyed after the requisitioned file has<br>been returned to the National Archives.  |
|----|--|------------|--|
| 29 | Record requisition card  | 115        | To be destroyed after all the space for entries<br>have been used and the last file requisitioned has<br>been returned to the sectional/departmental<br>records. |
| 30 | Weekly arrear statement  | 123 (1)    | 1  |
| 31 | Case sheets of cases pending disposal over a month   | 124 (2)(a) | 1  |
| 32 | Numerical abstract of cases pending disposal for over a month  | 124 (4)(d) | 1  |
| 33 | Consolidated numerical abstract of cases pending disposal for over a month in the various sections of the department | 124 (8)(a) | 3  |
| 34 | Call book  | 125 (1)    | 3  |
| 35 | Monthly Progress report on recording of files  | 126 (1)    | 1  |
| 36 | Register for keeping a watch on communications received from M.Ps  | 127        | 1  |
| 37 | Register for keeping a watch on<br>communications received from VIPs   | 128        | 1  |
| 38 | Monitoring of Court / CAT cases  | 129        | Permanent  |
| 39 | Register of Parliamentary Assurances.  | 130 (1)    | 1  |
| 40 | Check-lists for periodical reports   | 131        | 1  |
| 41 | Inspection reports   | 135        | One year after the date of inspection  |
| 41 | Inspection reports   | 155        | One year after the date of hispection  |

**Note :** The retention period will be reckoned with reference to the date from which the record ceases to be current/active. Where, however, it is proposed to weed out a register wherein certain entries are still current, e.g. file movement register where certain files entered therein have not been recorded or the register of assurances, where certain assurances have not been implemented, the current entries will first be transferred to the new register and the old register weeded out thereafter.