

**GOVERNMENT OF NATIONAL CAPITAL TERRITORY OF DELHI
FINANCE (REVENUE-II) DEPARTMENT
4TH LEVEL, 'A WING' DELHI SECRETARIAT
I.P. ESTATE, NEW DELHI 110002**


F.1(47)/Fin/Rev-II/2018-19/Misc./DSV/ 1268

Dated: 4/7/18

CIRCULAR

Please find enclosed herewith OM No. 07/07/2009-FB-II dated: 15.06.2018 along with its enclosures received from Fund Bank and ADB Division, Department of Economic Affairs, Ministry of Finance, Govt. of India for information and necessary action as applicable:-

| S. No. | Letter No. & Date | Received from | Subject |
|--------|---|---|--|
| 1. | OM No. 07/07/2009-FB-II dated: 15.06.2018 | Fund Bank and ADB Division, Department of Economic Affairs, Ministry of Finance, Govt. of India | Modification of Preliminary Project Report (PPR) Format for proposals submitted by State Governments and Central Ministries to be considered by the Fund Bank and ADB Division for external assistance from MDBs-reg |


(MANOJ KUMAR)
DY. SECY-V (FINANCE)

1. All Pr All Pr. Secretaries / Secretaries / Heads of Departments of GNCTD / Autonomous Bodies under Govt. of NCT of Delhi.
2. System Analyst with the request to upload the same on Website of Finance Department.

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F. No. 07/07/2009-FB-II
Government of India
Ministry of Finance
Department of Economic Affairs
Fund Bank and ADB Division

North Block, New Delhi
Date: June 15, 2018

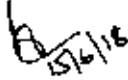
OFFICE MEMORANDUM

Subject: Modification of Preliminary Project Report (PPR) Format for proposals submitted by State Governments and Central Ministries to be considered by the Fund Bank and ADB Division for external assistance from MDBs-reg.

The undersigned is directed to refer to OM of even number dated March 28, 2018 (copy enclosed) and to inform that consequent to doing away with the requirement of furnishing Debt Sustainability Certificate by State Governments in Externally Aided Projects (EAPs) vide OM No. 3/9/2015 - BPC&T dated May 17, 2018 (copy enclosed), the PPR Format has been modified and is enclosed herewith.

2. Proposals submitted by State Governments and Central Ministries to the Fund Bank and ADB Division to be considered for external assistance from MDBs must henceforth be sent in the PPR format enclosed herewith.
3. This issues with the approval of the competent authority.

(Encl: As above)


(Bandana Preyashi)
Director (FB & ADB)

Ph: 23092345

Email: bandana.preyashi@gov.in

To,

1. All Secretaries in Government of India
2. All Chief Secretaries in the States and Administrators in the Union Territories
3. Executive Director (India), World Bank
4. Executive Director (India), ADB
5. Country Director (India), World Bank
6. Country Director (INRM), ADB
7. All joint Secretaries in DEA for information
8. All Directors/Deputy Secretaries in MI Division of DEA

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**F. No. 07/07/2009-FB-II
Government of India
Ministry of Finance
Department of Economic Affairs
Multilateral Institutions Division**

North Block, New Delhi
Date: March 28, 2018


OFFICE MEMORANDUM

Subject: Modification of Preliminary Project Report (PPR) Format for proposals submitted by State Governments and Central Ministries to be considered by MI Division for external assistance from MDBs-reg.

The undersigned has been directed to convey that with effect from April 1st, 2018, proposals submitted by State Governments and Central Ministries to MI Division to be considered for external assistance from MDBs must be sent in the PPR format enclosed herewith.

2. It may be noted that the submission of PPR format would be made online shortly. The date for the same shall be intimate in due course
3. This issues with the approval of the competent authority

(Encl: As above)


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(Bandana Preyashi)
Director (MI)
Ph: 23092345

Email: bandana.preyashi@gov.in

To:

1. All Secretaries in Government of India
2. All Chief Secretaries in the States and Administrators in the Union Territories
3. Executive Director (India), World Bank
4. Executive Director (India), ADB
5. Country Director (India), World Bank
6. Country Director (INRM), APB
7. All Joint Secretaries in DEA for MI
8. All Directors (Policy) Secretaries in the Ministry of Economic Affairs

F.No.3/9/2015-BPC&T
Ministry of Finance
Department of Economic Affairs
(Bilateral Cooperation Division)

North Block, New Delhi
 Dated the 17th May, 2018

OFFICE MEMORANDUM

Subject:- Guidelines for posing, implementation and monitoring of externally aided projects. Doing away with the requirement of furnishing Debt Sustainability Certificate by the State Governments in Externally Aided Projects (EAPs) – Regarding.

While reviewing the Fund Flow Mechanism to UTs under the Externally Aided Projects, it is observed that State Governments are bound to limit their annual borrowings to 3% of their GSDP (with some exceptions with prior approval of the Central Government only). When State Government's fiscal deficit is only within the limit permitted by their FRBM law and within limits approved by the Central Government, there is no case of debt going beyond sustainable limits as EAPs are part of the fiscal deficit ceiling. If EAP is not taken, the same amount of debt can be taken from other source. EAP debt adds nothing additional to the debt situation of the State.

2. Hence, in partial modification of Department of Economic Affairs' O.M. No.3/3/2004-PMU dated 09.05.2005, it has been decided to do away with the practice of furnishing Debt Sustainability Certificate by the State Governments in Externally Aided Projects.

3. Accordingly, Para 6 of DEA's aforesaid O.M. No.3/3/2004-PMU dated 9th May, 2005 stands deleted and henceforth, the State Governments will not be required to furnish any Debt Sustainability Certificate in Externally Aided Projects.

4. This issues with the approval of Secretary (EA).


(S. SELVAKUMAR)

Joint Secretary to the Government of India
 Phone:011-23093881

Issued
 17/5/18

1. The Secretaries of all Ministries / Departments of Govt. of India
2. All Chief Secretaries/ Administrators and Finance Secretaries of UTs
3. JS (PF-I), Department of Economic Affairs, New Delhi.
4. Joint Secretaries of MI, Budget and FRB Divisions
5. CAAA, DEA.

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PRELIMINARY PROJECT PROPOSAL REPORT FORMAT

| | | |
|----|--|--|
| 1. | Name of the Project | |
| 2. | Sectoral Area (Indicate from the list at Annexure A) | |
| 3. | Central Line Ministry or Department for the Project | |
| 4. | State Line Department for the Project | |
| 5. | Proposed Project Implementation Agency | |
| 6. | Institutional Structure for Delivery (SPV/PMU/Any other) | |
| 7. | Basic Design of the Project | |
| | Goals and Objectives (max 100 words) | |
| | Activities Involved (max 100 words) | |
| | Outputs of the Project (max 100 words) | |
| | Outcome of the Project (max 100 words) | |
| 8. | Finance Plus Element | |
| | Systemic and Transformational Impact (max 100 words) | |

| | | |
|-----|---|------------------|
| | Innovations and Piloting of New Approaches (max 100 words) | |
| | Innovations in Financing Leveraging (max 100 words) | |
| | International Best Practices Proposed to be Adopted (max 100 words) | |
| 9. | Other Elements (if any) | |
| | Private Sector Engagement (Financing, Supply Side Involvement, Provision Of Services) (max 100 words) | |
| | Climate Mitigation / Adaptation (max 100 words) | |
| | Beneficiary Engagement / Community Involvement / Community Monitoring (max 100 words) | |
| | Mainstreaming of Gender (max 100 words) | |
| 10. | Name / Names of the State / States Involved | |
| 11. | Name / Names of the District / Districts Involved | |
| 12. | Proposed Project Duration | From |
| | | To |
| | | Years |
| | | Months |
| 13. | Type of Project (Please tick) | Central Sector |
| | | State Sector |
| 14. | Category of State (Please tick) | General |
| | | Special Category |

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|-----|--|---|--|
| | | Both | |
| 15. | Counterpart Funding by the Centre or State or Both (Specify %) | Central Sector (min 50%) | |
| | | State Sector (min 30%) for General Category | |
| | | State Sector (min 20%) for Special Category | |
| | | Other | |

| 16. | Financial Arrangement | Fill details below (both in INR crores and USD million) | | | | | | |
|---|---|---|------------------|--------------------|----------------|--------------------|---|-------|
| Tranche | Total External Assistance Sought | Counterpart funding being made available by | | | | Total Project Cost | | |
| | | Implementing Agency | State Government | Central Government | Others, if any | | | |
| IN INR | | | | | | | | |
| Tranche 1 | | | | | | | | |
| Tranche 2 | | | | | | | | |
| Tranche 3 | | | | | | | | |
| TOTAL | | | | | | | | |
| IN USD (One USD = INR) as on (Date) | | | | | | | | |
| Tranche 1 | | | | | | | | |
| Tranche 2 | | | | | | | | |
| Tranche 3 | | | | | | | | |
| TOTAL | | | | | | | | |
| 17. | Year wise financial projections of fund utilisation | | | | | | | |
| Year | 1 | 2 | 3 | 4 | 5 | 6 | 7 | TOTAL |
| INR | | | | | | | | |
| USD | | | | | | | | |

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|---|--|----------|
| 18. | Name of the Multilateral Development Bank (MDB)/ International Financial Institution (IFI) (Indicate from the list at Annexure B) from which External Assistance is sought | |
| 19. | Details of Clearances / Comments / Observations about the project (Please enclose copies) | |
| Central | | |
| | NITI Aayog | Yes / No |
| | Central Line Ministry/Department (for Central PSU-Executed Projects) | Yes / No |
| | Ministry of Home Affairs (MHA), GoI (for all UTs without State Legislature) | Yes / No |
| State (Excluding North Eastern States) | | |
| | NITI Aayog | Yes / No |
| | Proposed Central Line Ministry or Department for the Project | Yes / No |
| State (North Eastern States) | | |
| | NITI Aayog | Yes / No |
| | Proposed Central Line Ministry or Department for the Project, GOI | Yes / No |
| | Ministry of External Affairs, GOI | Yes / No |
| | Ministry of Home Affairs, GOI | Yes / No |
| | Ministry of Development of North Eastern Region, GOI | Yes / No |
| 20. | Whether Feasibility Study for the project is available? | Yes / No |
| 21. | Whether Detailed Project Report (DPR) or Detailed Engineering Design for the project is available? | Yes / No |

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| | | |
|-----|--|----------|
| 22. | Whether following clearances are involved in the project | |
| | Environment | Yes / No |
| | Coastal Regulation Zone | Yes / No |
| | Forest | Yes / No |
| | Heritage | Yes / No |
| | Any other (Please specify) | |
| 23. | Current status of clearances mentioned in Point 22 | |
| | Environment(max 100 words) | |
| | Coastal regulation Zone(max 100 words) | |
| | Forest(max 100 words) | |
| | Heritage(max 100 words) | |
| | Any other (Please specify)(max 100 words) | |
| 24. | Whether any court or tribunal proceedings are pending that could impact the project? | Yes / No |

| | | |
|-----|--|----------|
| 25. | Current Status of court or tribunal proceedings if pending (max 100 words) | |
| 26. | Whether Land pooling / land acquisition / Resettlement and Rehabilitation is involved in the project? | |
| | Land Pooling | Yes / No |
| | Land Acquisition | Yes / No |
| | Resettlement & Rehabilitation | Yes / No |
| | Any Other (Please specify) | |
| 27. | Current Status of Land pooling / land acquisition / Resettlement and Rehabilitation involved in the project | |
| | Land Pooling(max 100 words) | |
| | Land acquisition(max 100 words) | |
| | Resettlement & Rehabilitation(max 100 words) | |
| | Any Other (Please specify)(max 100 words) | |
| 28 | Whether External Assistance has been availed in the past for similar project (i.e., earlier phase etc.)? If yes, | Yes /No |
| | Name of the Project: | |
| | Project period: | |

Annex A**Sectoral Area**

1. Agriculture (including Crop Insurance) and Allied Sector (including Dairy, Fisheries, Poultry and Horticulture)
2. Disaster Management
3. Education & Skill Development
4. Energy (including Renewables)
5. Environment and Climate Change
6. Finance (includes Public Finance Management and Financial Institutions)
7. Governance (includes service delivery)
8. Health and Nutrition
9. Irrigation/Water Resources (including Rural Water and Watershed)
10. Rural Development (including rural roads and rural sanitation), Livelihoods and Panchayati Raj Institutions (PRI)
11. Tourism & Culture
12. Transport and Logistics (including Airports, Ports, Railways, Inland Waterways, Metros, Bus Service, National Highways, State Highways)
13. Urban Development (including Urban roads and Urban Water/Sanitation)
14. Social Safety Net programmes/Initiatives
15. Telecom, Media, Technology and Venture Capital
16. Manufacturing, Agribusiness and Services
17. Natural Resources
18. Others (Please Specify)

Annex B**Name of MDB/IFI from which external assistance is sought**

1. World Bank – IBRD
2. World Bank – IFC
3. Asian Development Bank (ADB)
4. IFAD
5. NDB
6. Others (Please specify)